



U.S. Department of Justice

Federal Bureau of Investigation
Washington, D.C. 20535

February 7, 2023

MR. NOAH SCHEER

FOIPA Request No.: 1573845-000
Subject: BUTTENWIESER, HELEN
LEHMAN

Dear Mr. Scheer:

The FBI has completed its search for records subject to the Freedom of Information Act (FOIA) that are responsive to your request. The enclosed 137 pages of records were determined to be responsive to your subject and were previously processed and released. Please see the selected paragraphs below for relevant information specific to your request as well as the enclosed FBI FOIPA Addendum for standard responses applicable to all requests.

- ☐ In an effort to provide you with responsive records as expeditiously as possible, we are releasing documents from previous requests regarding your subject. We consider your request fulfilled. Since we relied on previous results, additional records potentially responsive to your subject may exist. If this release of previously processed material does not satisfy your request, you may request an additional search for records. Submit your request by mail to **Initial Processing Operations Unit, 200 Constitution Drive, Winchester, VA 22602**, or by fax to **(540) 868-4997**. Please cite the FOIPA Request Number in your correspondence.
- ☒ Please be advised that additional records responsive to your subject exist. If this release of previously processed material does not satisfy your request, you must advise us that you want the additional records processed. Please submit your response within thirty (30) days by mail to **Initial Processing Operations Unit, 200 Constitution Drive, Winchester, VA 22602**, or by fax to **(540) 868-4997**. Please cite the FOIPA Request Number in your correspondence. **If we do not receive your decision within thirty (30) days of the date of this notification, your request will be closed.**
- ☐ One or more of the enclosed records were transferred to the National Archives and Records Administration (NARA). Although we retained a version of the records previously processed pursuant to the FOIA, the original records are no longer in our possession.

If this release of the previously processed material does not satisfy your request, you may file a FOIPA request with NARA at the following address:

National Archives and Records Administration
Special Access and FOIA
8601 Adelphi Road, Room 5500
College Park, MD 20740-6001

- ☒ Records potentially responsive to your request were transferred to the National Archives and Records Administration (NARA), and they were not previously processed pursuant to the FOIA. You may file a request with NARA using the address above. Please reference file number 100-HQ-353709, 140-HQ-27415, 161-HQ-4760, 100-HQ-353709-A, 100-HQ-353709, 101-HQ-2668, 100-HQ-419433, 100-HQ-409206 in your correspondence.

- ☐ One or more of the enclosed records were destroyed. Although we retained a version of the records previously processed pursuant to the FOIA, the original records are no longer in our possession. Record retention and disposal is carried out under supervision of the National Archives and Records Administration (NARA), Title 44, United States Code, Section 3301 as implemented by Title 36, Code of Federal Regulations, Part 1228; Title 44, United States Code, Section 3310 as implemented by Title 36, Code of Federal Regulations, Part 1229.10.
- ☐ Records potentially responsive to your request were destroyed. Since this material could not be reviewed, it is not known if it was responsive to your request. Record retention and disposal is carried out under supervision of the National Archives and Records Administration (NARA) according to Title 44 United States Code Section 3301, Title 36 Code of Federal Regulations (CFR) Chapter 12 Sub-chapter B Part 1228, and 36 CFR 1229.10.
- ☐ Documents or information referred to other Government agencies were not included in this release.

Please refer to the enclosed FBI FOIPA Addendum for additional standard responses applicable to your request. "Part 1" of the Addendum includes standard responses that apply to all requests. "Part 2" includes additional standard responses that apply to all requests for records about yourself or any third party individuals. "Part 3" includes general information about FBI records that you may find useful. Also enclosed is our Explanation of Exemptions.

For questions regarding our determinations, visit the www.fbi.gov/foia website under "Contact Us." The FOIPA Request Number listed above has been assigned to your request. Please use this number in all correspondence concerning your request.

Please be advised that if you are seeking a new search for records, you may wish to narrow the scope of your request based on material that you have already received, e.g., timeframe or locality. Additionally, be advised that "unusual circumstances" may apply. See 5 U.S.C. § 552 (a)(6)(B)(iii). These "unusual circumstances" will delay our ability to make a determination on your request within 20 days. See 5 U.S.C. § 552 (a)(6)(B). Additionally, the payment of pertinent fees may apply to your request. See 5 U.S.C. § 552 (a)(4)(A)(viii). The application of "unusual circumstances" is not a determination of how the FBI will respond to your substantive request.

If you are not satisfied with the Federal Bureau of Investigation's determination in response to this request, you may administratively appeal by writing to the Director, Office of Information Policy (OIP), United States Department of Justice, 441 G Street, NW, 6th Floor, Washington, D.C. 20530, or you may submit an appeal through OIP's FOIA STAR portal by creating an account following the instructions on OIP's website: <https://www.justice.gov/oip/submit-and-track-request-or-appeal>. Your appeal must be postmarked or electronically transmitted within ninety (90) days of the date of my response to your request. If you submit your appeal by mail, both the letter and the envelope should be clearly marked "Freedom of Information Act Appeal." Please cite the FOIPA Request Number assigned to your request so it may be easily identified.

You may seek dispute resolution services by emailing the FBI's FOIA Public Liaison at foipaquestions@fbi.gov. The subject heading should clearly state "Dispute Resolution Services." Please also cite the FOIPA Request Number assigned to your request so it may be easily identified. You may also contact the Office of Government Information Services (OGIS). The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

Sincerely,



Michael G. Seidel
Section Chief
Record/Information
Dissemination Section
Information Management Division

Enclosure(s)

FBI FOIPA Addendum

As referenced in our letter responding to your Freedom of Information/Privacy Acts (FOIPA) request, the FBI FOIPA Addendum provides information applicable to your request. Part 1 of the Addendum includes standard responses that apply to all requests. Part 2 includes standard responses that apply to requests for records about individuals to the extent your request seeks the listed information. Part 3 includes general information about FBI records, searches, and programs.

Part 1: The standard responses below apply to all requests:

- (i) **5 U.S.C. § 552(c).** Congress excluded three categories of law enforcement and national security records from the requirements of the FOIPA [5 U.S.C. § 552(c)]. FBI responses are limited to those records subject to the requirements of the FOIPA. Additional information about the FBI and the FOIPA can be found on the www.fbi.gov/foia website.
- (ii) **Intelligence Records.** To the extent your request seeks records of intelligence sources, methods, or activities, the FBI can neither confirm nor deny the existence of records pursuant to FOIA exemptions (b)(1), (b)(3), and as applicable to requests for records about individuals, PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(1), (b)(3), and (j)(2)]. The mere acknowledgment of the existence or nonexistence of such records is itself a classified fact protected by FOIA exemption (b)(1) and/or would reveal intelligence sources, methods, or activities protected by exemption (b)(3) [50 USC § 3024(i)(1)]. This is a standard response and should not be read to indicate that any such records do or do not exist.

Part 2: The standard responses below apply to all requests for records on individuals:

- (i) **Requests for Records about any individual—Watch Lists.** The FBI can neither confirm nor deny the existence of any individual's name on a watch list pursuant to FOIA exemption (b)(7)(E) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(7)(E), (j)(2)]. This is a standard response and should not be read to indicate that watch list records do or do not exist.
- (ii) **Requests for Records about any individual—Witness Security Program Records.** The FBI can neither confirm nor deny the existence of records which could identify any participant in the Witness Security Program pursuant to FOIA exemption (b)(3) and PA exemption (j)(2) [5 U.S.C. §§ 552/552a (b)(3), 18 U.S.C. 3521, and (j)(2)]. This is a standard response and should not be read to indicate that such records do or do not exist.
- (iii) **Requests for Confidential Informant Records.** The FBI can neither confirm nor deny the existence of confidential informant records pursuant to FOIA exemptions (b)(7)(D), (b)(7)(E), and (b)(7)(F) [5 U.S.C. §§ 552 (b)(7)(D), (b)(7)(E), and (b)(7)(F)] and Privacy Act exemption (j)(2) [5 U.S.C. § 552a (j)(2)]. The mere acknowledgment of the existence or nonexistence of such records would reveal confidential informant identities and information, expose law enforcement techniques, and endanger the life or physical safety of individuals. This is a standard response and should not be read to indicate that such records do or do not exist.

Part 3: General Information:

- (i) **Record Searches and Standard Search Policy.** The Record/Information Dissemination Section (RIDS) searches for reasonably described records by searching systems, such as the Central Records System (CRS), or locations where responsive records would reasonably be found. The CRS is an extensive system of records consisting of applicant, investigative, intelligence, personnel, administrative, and general files compiled by the FBI per its law enforcement, intelligence, and administrative functions. The CRS spans the entire FBI organization, comprising records of FBI Headquarters, FBI Field Offices, and FBI Legal Attaché Offices (Legats) worldwide; Electronic Surveillance (ELSUR) records are included in the CRS. The standard search policy is a search for main entity records in the CRS. Unless specifically requested, a standard search does not include a search for reference entity records, administrative records of previous FOIPA requests, or civil litigation files.
 - a. *Main Entity Records* – created for individuals or non-individuals who are the subjects or the focus of an investigation
 - b. *Reference Entity Records* – created for individuals or non-individuals who are associated with a case but are not known subjects or the focus of an investigation
- (ii) **FBI Records.** Founded in 1908, the FBI carries out a dual law enforcement and national security mission. As part of this dual mission, the FBI creates and maintains records on various subjects; however, the FBI does not maintain records on every person, subject, or entity.
- (iii) **Foreseeable Harm Standard.** As amended in 2016, the Freedom of Information Act provides that a federal agency may withhold responsive records only if: (1) the agency reasonably foresees that disclosure would harm an interest protected by one of the nine exemptions that FOIA enumerates, or (2) disclosure is prohibited by law (5 United States Code, Section 552(a)(8)(A)(i)). The FBI considers this foreseeable harm standard in the processing of its requests.
- (iv) **Requests for Criminal History Records or Rap Sheets.** The Criminal Justice Information Services (CJIS) Division provides Identity History Summary Checks – often referred to as a criminal history record or rap sheet. These criminal history records are not the same as material in an investigative "FBI file." An Identity History Summary Check is a listing of information taken from fingerprint cards and documents submitted to the FBI in connection with arrests, federal employment, naturalization, or military service. For a fee, individuals can request a copy of their Identity History Summary Check. Forms and directions can be accessed at www.fbi.gov/about-us/cjis/identity-history-summary-checks. Additionally, requests can be submitted electronically at www.edo.cjis.gov. For additional information, please contact CJIS directly at (304) 625-5590.

EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute (A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

1 - Mr. Cleveland
1 - Liaison
1 - Mr. Floyd
1 - Mr. McNiff

(SC) 121-26307-27

REC-52

BY LIAISON

Date: May 29, 1967

To: Director
Naval Investigative Service
Office of Naval Intelligence

From: John Edgar Hoover, Director

Subject: BENJAMIN JOSEPH BUTTENWEISER
INFORMATION CONCERNING
(SUBVERSIVE CONTROL)

Reference is made to your letter dated May 19, 1967, your reference NIS-22/eb, 5520, Ser 00881 concerning captioned individual.

Your contemplated interview of the subject will not interfere with either the investigative or intelligence responsibilities of this Bureau.

If during the interview you should receive information which you feel may be of assistance to this Bureau in its over-all responsibilities, it would be appreciated if you would furnish this Bureau with copies of the pertinent information received.

1 - New York (121-10485) (Enclosure)

NOTE TO SAC, NEW YORK:

Enclosed for your information is one copy of referenced Naval Investigative Service letter dated 5/19/67. TJM:clb (8)

NOTE: Captioned individual is an applicant for a "Secret" access authorization within the Department of Defense Industrial Security Program. He is a wealthy financier who, during the period 1949-1951, held the position of Assistant High Commissioner for Germany. His wife is the niece of former New York State Governor Herbert Lehman. Investigation of subject was conducted in 1951 as he and his wife were reported to be associates of Alger Hiss and contributed to the Hiss Defense Fund. In 1961 subject's wife posted a Surety Bond for Dr. Robert A. Soblen, convicted Soviet spy.

This letter is being sent via liaison as it was received from the Naval Investigative Service through liaison channels.

Tolson _____
DeLoach _____
Mohr _____
Wick _____
Casper _____
Callahan _____
Conrad _____
Felt _____
Gale _____
Rosen _____
Sullivan _____
Tavel _____
Trotter _____
Tele. Room _____
Holmes _____
Gandy _____

MAIL ROOM ☐

TELETYPE UNIT ☐

Kisseloff-102956

62 JUN 9 1967



NAVAL INVESTIGATIVE SERVICE
FAIRMONT BUILDING
4420 NORTH FAIRFAX DRIVE
ARLINGTON, VIRGINIA 22203

[BY HAND]

~~SECRET~~

IN REPLY REFER TO
NIS-22/eb
5520
Ser 00881

MAY 19 1967

~~SECRET~~ (DOWNGRADED TO ~~CONFIDENTIAL~~ ON REMOVAL OF ENCL (1))

From: Director, Naval Investigative Service
To: Director, Federal Bureau of Investigation (Attn: Liaison Section)

Subj: BUTTENWIESER, Benjamin Joseph 121-26307
DPOB: 22 Oct 1900, New York City, New York
Empl: Benrus Watch Co., Inc., New York (U)

Ref: (a) NIS (Code 22) memorandum ser 04782 of 9 Nov 1966

Encl: (1) NISRA New York, N. Y. ROI of 30 Jan 1967

1. (U) Subject is an applicant for a Secret access authorization within the department of Defense Industrial Security Program. The facility by which he is employed is presently under the security cognizance of the Defense Industrial Security Clearance Office (DISCO), Columbus, Ohio.
2. (C) Reference (a) advised the files of Naval Investigative Service contained information of a loyalty nature on Subject and his wife, Mrs. Helen BUTTENWIESER (nee: LEHMAN), and also advised that Naval Investigative Service planned to conduct an appropriate investigation of Subject to determine his suitability for a security clearance.
3. (U) Enclosure (1) is a report by Naval Investigative Service of investigation of Subject and is furnished for information to Federal Bureau of Investigation Headquarters, Washington, D. C. A copy of said report has already been furnished to FBI New York, N. Y.
4. (U) Defense Industrial Security Clearance Office has requested additional investigation of Subject in order to conduct an interview of him concerning his and his wife's involvement with questionable individuals and organizations. Please advise if FBI interposes any objection to interview of Subject by Naval Investigative Service.

b6 per NCIS
b7C

ENCLOSURE

REC-52

3 JUN 1 1967

~~SECRET~~
~~SECRET~~

EXCLUDED FROM AUTOMATIC REGRADING;
DOD DIR. 5200.10 DOES NOT APPLY

Kisseloff-102957

ON 05-12-2015

DEPARTMENT OF THE NAVY
OFFICE OF NAVAL INTELLIGENCE
NAVAL INVESTIGATIVE SERVICE

REPORT OF INVESTIGATION

OPNAV FORM 5520/119 (Rev. 6-65)

~~SECRET~~EXCLUDED FROM AUTOMATIC
REGRADING: DOD DIR 5200.10
DOES NOT APPLY

CLASS R(03-10)LRUC	CONTROL 11-10-66/00-0477-3A(DS)	STATUS RUC	DATE 30 JAN 1967
DISCO	03 11	Special Agent CML	
SUBJ AT (03-10)/NISRA New York NY		CHARACTER INTERNAL SECURITY * COMMUNISM	
FILE (S)	BUTTENWIESER BENJAMIN JOSEPH/Civilian 109-28-5446		b6 per NCIS b7C
DFOB: 10-22-00 New York NY			

SYNOPSIS

1. A National Agency Check disclosed adverse information of a loyalty nature concerning Subj and his wife. Investigation from 1951 to present regarding Subj's employment and including interviews of supervisors, co-workers, personal references, a rental agent and a building employee, disclosed no adverse information concerning the loyalty of Subj or his wife except for a local agency check which disclosed that Subj and his wife were sponsors in Los Angeles in 1963 of the "National Committee to Abolish the House Un-American Activities Committee." Subj was described as a liberal who does not believe the US should be taking an active part in the Viet Nam conflict. His wife was described as a "do-gooder" interested in civil rights of individuals. Association of Subj and his wife with Alger HISS, convicted of perjury when he denied delivering US State Department secrets to a Soviet spy courier, described as that considered by interest in seeing that HISS obtained a fair trial.

PREDICATION

2. Investigation was predicated upon DISCO request, undated, for appropriate investigation of Subj who requires Secret clearance as Financial Consultant and Director of the Benrus Watch Co., New York, N.Y.

BACKGROUND DATA

3. A National Agency Check, completed 28 Sep 1966, disclosed Atch (1) which reflected that Subj and his wife, Helen (LEHMAN) BUTTENWIESER, had been close associates of Alger HISS; that they contributed to the defense fund for Alger HISS; that Subj was a contact of the League of American Writers in 1941; that Helen BUTTENWIESER was a contributor to the American Youth Congress in 1938 and 1939; that she was on the mailing list of the New York Conference for Inalienable Rights; that in 1951 she had been a member of the National Lawyers Guild since 1940 and that she was a contributor to the publication, "Social Work Today." According to Atch (1), Alger HISS was convicted in Jan 1950 by a Federal Grand Jury in the Southern District Court of New York for perjury when he said that he did not see Whittaker CHAMBERS, an admitted Communist spy courier, after 1 Jan 1937 and that he had never delivered U.S. State Department secrets to CHAMBERS.

4. The League of American Writers, the American Youth Congress and the Communist Party, U.S.A., have been designated by the Attorney General of the United States as coming within the provisions of Executive Order 10450. The New York Conference for

PAGE 1

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H(00-22)/F(03-HQ)/X(FBI-NEW YORK NY)

ASST BUFPY AGENT-NISRA NEW YORK

CLASSIFICATION

~~SECRET~~ (U)

FEB 16 1967

~~SECRET~~ (U)

BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

Inalienable Rights was cited as a Communist front and the publication, "Social Work Today," was cited as a Communist magazine in the Guide to Subversive Organizations and Publications prepared and released by the Committee on Un-American Activities, U.S. House of Representatives, Washington, D.C.

5. The National Agency Check also disclosed Atch (2), the results of a 1965 investigation conducted by the Office of Special Investigations, U.S. Air Force, re Subj's [redacted] This investigation developed informa-

b6 per NCIS
b7C

tion substantially the same as set out above and also indicated that Subj's wife had put up \$60,000.00 in bonds of the \$100,000.00 bail required to free Dr. Robert SOBLEN (deceased), convicted Soviet spy who was given a sentence of life imprisonment. Atch (2) indicated that the Office of the Secretary of the Air Force had determined that the case regarding [redacted] was closed favorably and that he was commissioned in the U.S. Air Force on 28 Oct 1965.

6. Files of FBI-NY, checked on 14 Dec 1966, reflected that a source who has furnished reliable information in the past advised at Los Angeles in Sep 1963 that "Benjamin J. BUTTENWIESER, NYC" and "Helen BUTTENWIESER-NYC-Attorney," were listed as sponsors of the "National Committee to Abolish the House Un-American Activities Committee." This organization has been cited as an organization set up "to lead and direct the Communist Party's 'operation abolition' campaign" in the Guide to Subversive Organizations and Publications prepared and released by the Committee on Un-American Activities, U.S. House of Representatives, Washington, D.C.

BIRTH DATA

7. 22 Oct 1900, New York, N.Y., confirmed by employment records.

RECORDS OF THE NEW YORK CITY PUBLIC LIBRARY

8. On 10 Jan 1967, the Reporting Agent reviewed "Who's Who in World Jewry," 1965 edition, at the New York City Public Library, 5th Avenue and 42nd Street, New York, N.Y. The aforementioned reference book listed the following biographical data concerning Subj: ~~BUTTENWIESER~~ ~~BUTTENWIESER~~ N.Y.

"BUTTENWIESER, Benjamin J., U.S. Banker, DOB 22 Oct 1900, New York, N.Y., son of Joseph L. and Caroline (WEIL), graduate of Columbia University 1919, married Helen LEHMAN, 3 Oct 1929; children, [redacted]

b6 per NCIS
b7C

[redacted] Carol Helen, [redacted] and [redacted] Limited partner since 1952, Kuhn, Loeb & Co., associated with firm since 1918, general partner 1932-1949, Director: Benrus Watch Co.; Revlon Inc.; Tishman Realty and Construction Company; Venus Pen & Pencil Co.; Member Advisory Board: Title Guarantee Co., NYC; served in U.S. Navy 1942-1945, Commander, U.S. Naval Reserve; Member: National Bank Loan Commission, National Recovery Act 1933, Investment Bankers Association of America, 1938-1940; Member Board of Trustees: Federation of Jewish Philanthropies NY; Lenox Hill Hospital; Chairman: Columbia University, Fisk University, Philharmonic Foreign Policy Association. Clubs: City Midway; Harmonie and Century Country; Home: 450 East 52nd Street, New York, N.Y.; Office: 40 Wall Street, New York, N.Y."

~~SECRET~~ (U)

Kisseloff-102959

~~SECRET~~

BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1957

EMPLOYMENT

9. On 9 Jan 1967, Subj's employment from 1918 to date, as a limited partner, with the firm of Kuhn, Loeb and Company, an investment banking firm, located at 40 Wall Street, New York, N.Y., was corroborated through interviews of John M. SCHIFF and Frederick M. WAREBURG, senior partner and partner, respectively. SCHIFF and WAREBURG, both listed as personal references by Subj, advised that he has been employed by Kuhn, Loeb and Company since 1918 and has been associated thereat continuously, except for the period from 1942 to 1945, at which time Subj entered military service; and from 1949 to 1951 when Subj disassociated himself with the firm to accept a government position as Assistant High Commissioner for Germany. (Detailed interviews of the abovementioned individuals is set forth in paragraphs (16) and (17), infra.)

10. On 10 Jan 1967, Subj's employment as a financial consultant, and director of the Benrus Watch Company, 50 West 44th Street, New York, N.Y., from 1955 to date, was confirmed as satisfactory through records made available by Lawrence M. BOLLAC, director of personnel.

11. On 10 Jan 1967, Julian IAZRUS, president of the Benrus Watch Company, was interviewed by the reporting agent at his office located at 50 West 44th Street, New York, N.Y. Interviewee advised that he has known Subj since 1954 and that his last contact with him occurred at the Dec 1966 meeting of the firm's Board of Directors. Source related that Subj is an extremely wealthy man, a multi-millionaire, that his wife, Helen BUTTENWIESER, is the daughter of Arthur LEHMAN, financier, and the niece of former New York State Governor, Herbert LEHMAN. According to source, the BUTTENWIESERS had four children, three sons, [redacted] and [redacted] and one daughter who is deceased, Carol Helen. IAZRUS described Subj as a meticulous, systematic thinker, a man of great financial management ability, opinionated, aggressive, a man whose personal habits are beyond reproach but one who has few close personal friends. Interviewee advised that Subj is an individual who fights for what he believes in, a person who has strong convictions, who does not render an opinion "off the top of his head," who does not drink or smoke and a person who is active in various charitable organizations. Source related that Subj possesses a rather cold, impersonal, formal type personality and probably this, along with his immense wealth, has hampered him in attaining any close personal relationships. Concerning Subj's political proclivities, interviewee stated that he believes Subj to be a Liberal Democrat. He advised that he could never visualize Subj as a "wild-eyed radical," that it is just not in Subj's makeup. Source averred that he is unaware of any adverse organizational affiliations on the part of Subj or his spouse; however, he has heard that she is active in the civil rights movement and supports various political and charitable organizations. Interviewee recalled that several years prior, Subj's wife was involved in the defense of some civil rights question and that she apparently received adverse publicity concerning this matter. Subsequently, source advised, at a meeting of the Board of Directors, one of the directors "needled" Subj concerning a newspaper item regarding Subj's wife and Subj was heard to mutter under his breath, "I wish Helen wouldn't do such things."

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Kisseloff-102960

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BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

on the part of Subj but advised that Subj was the Assistant High Commissioner for Germany about 1950. Interviewee attested to Subj's excellent character traits and U.S. loyalty, and concluded the interview by recommending him in every respect for a position of trust.

AGENT'S NOTE: The above references were unable to furnish the names of any informants with intimate knowledge of Subj's background and activities other than themselves.

AGENCY CHECKS

21. Files of FBI-NY contain no pertinent information re Subj and his wife in addition to that set forth in paragraph 6, above.
22. Files of the New York (NY) Police Department (Subversive), reviewed on 10 and 11 Jan 1967, disclosed no pertinent information in addition to that set forth or referenced herein.
23. Files of the New York (NY) Police Department (Criminal), reviewed on 17 Nov 1966, were negative re Subj.

NIS-NISO FILES

24. NISO NEW YORK files contain no information pertinent to this investigation except as set forth ~~sooner reviewed~~ herein.

ATTACHMENTS

(Item)

- (1) NISHQ Secret File re Subj up to 12-24-52
- (2) NISHQ Conf File, Subj:
up to 02-03-66

(NIS Dissemination)

(00-22) only/one copy only
(00-22) only/one copy only

b6 per NCIS
b7C

ACTION/LEADS

X(FBI-NEW YORK NY)...Copy of report furnished for information.

~~SECRET~~

~~SECRET~~ (U)

BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

socializing, that he used to be a rabid tennis player but that a mild heart spasm has curtailed this. He stated that Subj's recreational endeavors are limited to long walks. Interviewee concluded by recommending Subj in every respect for classified access.

13. On 10 Jan 1967, Lawrence M. BOLIAC, director of personnel at the Benrus Watch Co., Inc., was interviewed at his place of employment by the reporting agent. BOLIAC advised that he has known Subj approximately six years in a business-type relationship and that his last contact with Subj was in Dec 1966 at the firm's Board of Directors meeting. Source stated that he has never met Subj's wife, Helen BUTTENWIESER, but that he has heard that she is the niece of former Governor Herbert LEHMAN, and that she is a practicing New York City attorney who is very active in charitable work. Interviewee was unaware of any adverse organizational affiliations on the part of Subj or his wife, and concluded the interview by recommending Subj in every respect for classified access.

RESIDENCE

14. Subj's residence at 450 East 52nd Street, New York, N.Y., a cooperative dwelling, from Jan 1959 to date, was verified through records made available on 10 Jan 1967 by [redacted] rental secretary at Douglas L. Elliman Inc., 15 & 17 East 49th Street, New York, N.Y., real estate agency for the above dwelling. The records reflect that on 1 Jan 1959, Subj purchased 800 shares of stock from [redacted] at a cost of \$175,000.00, in order to be a stockholder and proprietary lessee of the 7th and 8th floor apartments and two servant rooms in the foregoing dwelling. On 27 Jan 1959 Subj sold 60 shares of stock of his servant rooms, currently owns 740 shares, and pays \$970.00 per month rent. Personal background inquiries concerning Subj conducted in Oct 1958 by Charles Walker, Estate Servicing Realtor, 52 Wall Street, New York, N.Y., which was submitted to the lessors of the cooperative for their approval of Subj's residence application, reflected the following data:

Benjamin J. BUTTENWIESER

Born: 22 Oct 1900

Son of Joseph L. and Caroline (WEIL)

Education: NYC public school system

Columbia University

Married: Helen LEHMAN, 3 Oct 1929

Children: [redacted]

Carol Helen ~~Butten~~ Wieser

Limited Partner Kuhn Loeb & Co.
Advisory Board Title Guarantee Trust Co.
Director of Benrus Watch Co.

b6 per NCIS
b7C

ALL
N.Y.

~~SECRET~~ (U)

~~SECRET~~ (U)

BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

United Artists Theatre Circuit
Venus Pen & Pencil Corp.
Past Asst. High Commissioner for Germany
Commander U.S. Naval Reserve
Trustee: Federation of Jewish Philanthropic Society
Columbia College Council
National Urban League
Lenox Hill Hospital
Foreign Policy Association
Clubs:

Midday
Harmonie
Century
Country

Home: 17 East 73rd Street, NYC

Office: 40 Wall Street, NYC

Notes:

Mrs. BUTTENWIESER is the former Helen LEHMAN of LEHMAN Brothers family. Resided at 17 East 73rd Street, NYC for a number of years.."

AGENT'S NOTE: Attempts to interview current neighbors having personal knowledge of Subj were nonproductive due to the private nature of the residences in the area. b6 per NCIS b7C

15. [redacted] (doorman) at Subj's residence, advised when interviewed on 10 Jan 1967 that he has no personal knowledge of Subj and his wife in view of his position but that he has observed numerous persons from all parts of the world residing at the BUTTENWIESER apartment for short periods of time, and that he thought it strange because all these guests seem to have the apartment key when they arrive. Interviewee was unable to identify any of these individuals, advised that this is the extent of his knowledge concerning Subj but that he has never heard in his six years as doorman anything derogatory regarding the BUTTENWIESERS.

REFERENCES

16. On 9 Jan 1967, Frederick M. WARBURG, partner in the firm of Kuhn and Loeb, located at 40 Wall Street, New York, N.Y., was interviewed by the Reporting Agent. WARBURG advised that he has known Subj approximately forty-five years and that he has daily contact with him. Source stated that Subj is of excellent character and a very patriotic individual who was the former Assistant High Commissioner for Germany during the period from 1949 to 1951. Interviewee averred that Subj's wife rendered financial and legal aid to Alger HISS at the time of his trial appeal; that it was his impression that the BUTTENWIESERS sincerely believed that HISS was innocent. According to source, the HISSs resided in the apartment of

PAGE 6

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Kisseloff-102963

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BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

the BUTTENWIESERS during the trial and that they were quite friendly. Unfortunately, interviewee advised, Subj, who was in Germany at the time, received a transatlantic telephone call from a New York City newspaper reporter regarding the HISS matter and Subj took his wife's side. This, according to source, hurt Subj's political potential since he was subsequently criticized by the press for his defense of HISS. Interviewee opined that Subj would never receive another important political position after this incident and it was his impression that Subj was sorry he ever got involved in the HISS matter. Interviewee advised that, politically, he would classify Subj as a liberal Democrat, a "little left of center," and that he has heard Subj privately express adamant views against the United States involvement in the Viet Nam war. Source averred that it was his impression that if Subj were ever ordered to bomb North Viet Nam, he would do so; but that it "would tear out his guts." Concerning Subj's spouse, interviewee stated that he would characterize her as a "woman who would like to adopt every poor child in New York City," that she is a "do-gooder" and an excellent attorney who devotes her time to defending the rights of individuals. He advised that Subj's wife is currently a partner in a law firm located at 1 East 44th Street, New York, N.Y., and that it was his impression that she was very active in the civil liberties movement. Source averred that there is no question in his mind concerning the loyalty of Subj or his spouse, that they are both American patriots and that he would recommend Subj, without hesitation, for a position of trust.

17. On 9 Jan 1967, John M. SCHIFF, senior partner in the firm of Kuhn and Loeb, with offices located at 40 Wall Street, New York, N.Y., was interviewed by the reporting agent. SCHIFF advised that he has known Subj approximately forty years and that he has daily contact with him. Interviewee stated that Subj has been with the firm as a limited partner since approximately 1918, that a limited partner is one who is not accountable for personal assets in the event of the failure of the firm and that a partner's liability on the other hand is all inclusive. Interviewee attested to Subj's excellent character traits and U.S. loyalty. He stated that Subj is married to Helen BUTTENWIESER, the daughter of Arthur LEHMAN of the LEHMAN Brothers family, and the niece of former New York State Governor, Herbert LEHMAN. Source related that Subj and his wife are active in charitable affairs and that they are politically liberal; however, he averred that he is unaware of any adverse organizational affiliations on the part of Subj or his wife. Interviewee related that Subj and his wife were supporters of Alger HISS during the latter's trial and that it was his impression that both believed HISS to be innocent. Source averred that Subj and his wife are active in the support of the rights of the Negro and are associated with the National Urban League. He stated that Subj's wife is an attorney, that she handles quite a lot of civil liberties legal actions and is very conscious of the individual rights of others. As far as the United States loyalty of the BUTTENWIESERS is concerned, interviewee averred that there is no question in his mind that both are loyal,

PAGE 7

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BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

law-abiding citizens of the United States. Source advised that Subj, in view of his position as a limited partner, has associated himself with various political, charitable and social groups, and is a financial consultant for various "giants of industry." According to interviewee, Subj places great stress on personal loyalty, that he is a man of high integrity and of unquestionable character. Interviewee stated that Subj has three sons, one a New York City attorney, another a doctor, but the remaining son's occupation he could not recall. He further advised that Subj had a daughter, Carol Helen, but that she died a number of years ago from leukemia or pneumonia. Interviewee concluded by recommending Subj, without hesitation, for a position of trust and confidence.

18. On 15 Dec 1966, Samuel I. ROSENMAN, former New York State Supreme Court Justice, currently senior partner in the firm of Rosenman, Colin, Hays, Petschesh, Freund and Emil, with offices located at 575 Madison Avenue, New York, N.Y., was interviewed by the reporting agent. ROSENMAN advised that he has known Subj approximately forty years and that his last contact with him occurred about a month ago. Source attested to the excellent character traits of Subj and his spouse, and was unaware of any adverse organizational affiliations on the part of Subj or his wife, Helen BUTTENWIESER, nee: IEHMAN, the niece of former Governor Herbert IEHMAN. Interviewee related that Subj and his wife are active in various political, charitable and social organizations, particularly in fund drives, that Subj has been on several municipal finance committees and a few years back was being considered as a possible Democratic mayoral candidate. Concerning Subj's political inclinations, source advised that Subj is a liberal Democrat and that on no occasion has he ever heard Subj profess any views that might be construed to be inimical to the policies of the United States. Source averred that several years ago Subj's wife rendered active financial and legal support to Alger HISS, that she was criticized severely for this, but that he (source) personally congratulated her for her courage in ensuring that HISS received the full protection of the law and a fair trial. Interviewee stated that it was his feeling that Subj and his wife sincerely believed in the innocence of HISS and were not motivated by any Communistic sympathies or beliefs. Interviewee stated that Subj's wife is a firm believer in the rights of individuals and has campaigned all her professional life for these rights. Interviewee concluded by recommending Subj in every respect for a position of trust.

19. On 9 Jan 1967, John J. MC CLOY, member of the Warren Commission, and former High Commissioner for Germany, currently with the firm of Milbank, Tweed, Hadley and McCloy, 1 Chase Manhattan Plaza, New York, N.Y., was interviewed by the reporting agent. MC CLOY advised that he has known Subj approximately forty-five years and that his last contact with him occurred a few weeks ago. Source averred that Subj was his assistant when he was High Commissioner for Germany from 1949 to 1951, that while employed in this capacity Subj had access to large amounts of highly classified documents and that at no time did he ever question or suspect Subj's loyalty to the United States. Interviewee stated that Subj's wife

PAGE 8

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Kisseloff-102965

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BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

is the niece of former Governor Herbert LEHMAN, that she is a very wealthy woman who is a practicing attorney in New York City and who engages in numerous charitable and political fund-raising drives. He related that she rendered financial and legal aid to Alger HISS during his appeal trial, that it was his impression that she sincerely believed HISS to be innocent and that he was "covering up for someone." According to interviewee, the BUTTENWIESERS were friends of the HISSes through the BUTTENWIESERS' children who attended the Dalton School in New York City whereat Priscilla HISS was a teacher. Source averred that he spoke to Subj on several occasions regarding the HISS trial and that he received the impression that Subj felt that HISS was innocent and that he was being "railroaded." Interviewee stated that Subj and his spouse defended HISS only with the thought that he was innocent and that he was entitled to a fair trial and that he never received the impression that Subj or his wife were Communist sympathizers. Interviewee stated that at the time many high government officials were supporting HISS in the belief that he was innocent, that the trial received vast publicity at a time when there was great stress on the infiltration of the government by Communists. According to source, Subj's wife is involved with the Legal Aid Society and is active in civil rights and social justice ideologies. He depicted her as "the defender of the downtrodden." In regard to Subj, source advised that he is liberal in his political views but that he is definitely not a Communist sympathizer or supporter. Interviewee related that Subj is of excellent character, that he has never heard him express any view which might be construed to be inimical to the United States form of government but that knowing Subj's liberal political views he would venture to say that Subj is against United States participation in Viet Nam. Interviewee concluded by stating that he has no knowledge of any adverse organizational affiliations on the part of Subj or his wife and that he would recommend Subj, without hesitation, for a position of trust.

20. On 16 Dec 1966, Rabbi Julius MARK, Temple Emanu-El, 1 East 65th Street, New York, N.Y., was interviewed by the reporting agent. MARK advised that he has known Subj for approximately nineteen years and that his last contact with him was in the past month. Interviewee stated Subj is member of his congregation, that his relationship with Subj is mainly as a spiritual advisor and that he has little social contact with him. Source related that Subj's wife is the niece of former New York State Governor Herbert LEHMAN and the daughter of Arthur LEHMAN, of the LEHMAN brothers family. According to source, Subj's spouse is a practicing attorney in New York City and is very active in charitable work. Interviewee averred that he was unaware of any adverse organizational affiliations on the part of Subj or his wife, that he has never, on any occasion, heard anything which might lead him to suspect their United States loyalty. Source related that the BUTTENWIESERS have three sons and a daughter who died a number of years ago. He averred that Subj is politically liberal and he characterized him as a liberal Democrat. According to interviewee Subj is an extremely wealthy man and is employed as a partner of Kuhn, Loeb and Company, a financial banking firm in New York City. Source advised that he has no knowledge of any political ambitions

PAGE 9

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Kisseloff-102966

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b6 per NCIS
b7C

BUTTENWIESER BJ/3A(DS)/(03-NY)/ 30 JAN 1967

From this incident, interviewee stated, he received the impression that Subj's wife, on occasion, is a source of embarrassment to him. (Discreet inquiry disclosed that interviewee was unaware of any relationship between the BUTTENWIESERS, Alger HISS and [redacted]) Source stated that he has met Subj's wife on only one occasion and could not furnish any personal information on her political or social affiliations. He advised that on one occasion he attended a meeting of the firm's Board of Directors at the palatial residence of Subj, but that Subj's wife was not present at the time. Source stated that he has no knowledge of the relationship between the BUTTENWIESERS but that he personally has never seen them together at various social and business functions that he has attended with his wife. According to interviewee, recently two presidential aides approached Subj inquiring as to his views on the government's Viet Nam policies. This, according to source, was in keeping with the President's proclamation to go to the people to gather their views on the Viet Nam war. Interviewee advised that it is his impression that Subj feels that since we are in Viet Nam we have to do the best we can and that it is too late now to debate whether we should be there or not. Interviewee stated that he has never, on any occasion, heard Subj profess views that might be construed to be inimical to the interest of the United States. Interviewee concluded by stating that there is no question in his mind concerning Subj's loyalty to this country and that he would personally recommend him, unhesitatingly, for classified access.

12. On 10 Jan 1967, Jay Kay LAZRUS, executive vice-president of the Benrus Watch Company with offices located at 50 West 44th Street, New York, N.Y., was interviewed by the reporting agent. LAZRUS stated that he has known Subj since 1951 and that his last contact with him was this month. Interviewee advised that his impression of Subj is that of a hard-working, extremely competent person who, besides being on the Board of Directors of the Benrus Watch Company, is also financial consultant to various other "giants of industry." LAZRUS averred that Subj and his wife, Helen, a practicing attorney in New York City, render financial support to various charitable and political organizations. Interviewee was unaware of any adverse organizational affiliations on the part of Subj or his wife. He advised that he has little contact with Subj's wife, and as such has limited knowledge of her activities. The knowledge he has concerning the activities of Subj's spouse, interviewee averred, is all hearsay, that it had to do with her "do-gooder" reputation as a person who is the "defender of the down-trodden." Source advised that the BUTTENWIESERS have four children, three sons, and a daughter who died a number of years ago due to leukemia or possibly pneumonia. Interviewee related that last year, two presidential assistants interviewed Subj concerning his views on the Viet Nam situation. This, according to source, was in keeping with the President's announcement that he would seek the peoples' view on this issue. Source averred that it is his impression that Subj supports the Viet Nam war, and that he has never heard Subj make any derogatory statements concerning our participation in the Viet Nam conflict. (Discreet inquiries reflected that source was unaware of any association between the BUTTENWIESERS, Alger HISS or [redacted]) Source related that Subj does little

PAGE 4

~~SECRET~~

Kisseloff-102961

UNITED STATES GOVERNMENT

Memorandum

TO : Mr. Trotter

10-14

328
2 files

DATE: October 11, 1960

Include arrest data unsupported by fingerprints.

FROM : ☒ A. Rosen ☐ D. J. Parsons

MANICE de FOREST LOCKWOOD

aka "Chip," Manice de Forest Lockwood, III

SUBJECT: Applicant

United Nations

New York, New York

LOYALTY OF EMPLOYEES OF THE UNITED NATIONS

AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Buded: 11/1/60

Please search the name of the individual through the records of the Identification Division and return this

memorandum with a notation of your findings to-

☒ Employees Security Section, Room 4734, Justice Building. (In SGE and UN cases, if a record of arrest is found, please enclose, in addition to file copy, 1 copy of the Identification Record for each state in which arrests occurred).

☐ Special Inquiry Section, Room _____, Justice Building.

☐ Laboratory, Att.: _____, Ext.: _____, re D # _____

The following identifying data are listed for your assistance (Att.: Investigative Division only - in addition to the name or names and the Government agency appearing in the title):

Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Race	Height	Name and aliases (For use of Laboratory) (1)	(2)	(3)
Date and place of birth November 10, 1922 New York, New York	Age 38				
Military Service #	CSC # 0	4.24.61.120			
Last known address 200 East 66th Street New York, New York					
Previous Government employment					
Date SGE security form received 10/6/60					
Other pertinent identifying data (Att.: Lab., include PD #s and location) SSN: 091 26 2754 Spouse: Agnese Nelms Lockwood DOB: 1923 in USA					

WWW:pam
- 1 -

Identification Division Reply

☐ Based upon information furnished, no record located.

☒ A noncriminal fingerprint record which ~~may~~ MAY BE identical was located. This record was searched through the criminal files and no criminal record found.

☐ The enclosed Identification Record IS or MAY BE identical with the record of the employee, applicant, or subject.

Kisseloff-102968

10/26/60

AIRTEL

TO : SAC, JACKSONVILLE

FROM : SAC, NEW YORK (138-3449)

SUBJECT: MANICE de FOREST LOCKWOOD aka
"Chip"
APPLICANT-UNITED NATIONS, NY, NY
LEUN

ReBulet NY, 10/11/60, no cc your Office; Buded 11/1/60.

Applicant, Stock Analyst, with firm of LAURENCE M. MARKS, 48 Wall Street, NYC, 3/51 to 1/56, and partner in same firm, 1/56 to 5/59. Two senior partners advise would not recommend, consider applicant a pathological liar, dishonest, and, because of association with ALGER HISS, would hesitate to comment favorably re loyalty. Also advised that they believe he is seeking UN employment as a method of getting free transportation to look after personal overseas business interests. Advised he has interest in steel concern in India and in other business in Italy. Also stated that applicant drinks too much and, when under the influence of alcohol, talks about things he should not.

They stated that his closest associate in the firm was former partner CHARLES ZINGRAF, who within the past year, has purchased and operates a motel in Daytona Beach, Florida.

2 - Jacksonville
1 - Bureau
1 - New York (138-3449)

BW:kpm
(5)

51 DEC 1 1960
4165

Kisseloff-102969

NY 138-3449

Files of NYO reflect applicant and wife active in HISS defense up to, and including his appeal. Applicant reportedly disinherited by father because of association with HISS. His wife AGNESE NELMS worked under HISS at the Carnegie Endowment.

Locate and interview ZINGRAF. It is requested that ZINGRAF be asked to suggest persons who would know applicant prior to 1951 in NY area, as NYO experiencing difficulties in locating such persons.

LOCKWOOD born 11/10/22, NYC; graduate of Yale University, 1943; married AGNESE NELMS, 1950. Since 1951, has resided at 200 East 66th Street, NYC.

FD-205 - 10/31/60 at NY. Buded: 11/1/60. Reason for delay: To date investi has failed to locate any individuals acquainted with applicant during pertinent period prior to 3/51. NR for applicant Time-Life Inc & RAY MACHLAND, listed spvrs at T-L returning from vacation this date. Investi at Columbia Univ. continuing to locate persons who would know. Rep will reach Bu 11/8/60.

FD-205 - 10/31/60 at WFO. Buded 11/1/60. Reason for delay: Agency check (State Sy Passport) 2nd request sent. Rep will reach Bu 11/7/60.

FD-205 - 11/4/60 at SL. Reason for delay: Lead received in insufficient time to meet Buded. Rep will reach Bu 11/11/60 or immediately upon review of records.

FD-205 - 11/4/60 at WFO. Reason for delay: Agency check - State Sy. (2nd request maintaining close liaison). Rep will reach Bu 11/11/60.

FD-205 - 11/7/60 at NY. Reason for delay: Rep dictated 11/4/60 and transcription will be completed 11/8 a.m. Report will reach Bu by 11/10.

Rep

OCT 19 1 20 PM '60

VOUCHER-STATISTICAL SEC
FBI

FBI
VOUCHER-STATISTICAL SEC

OCT 17 3 26 PM '60

RECEIVED

Letter to New York
RE: MANICE de FOREST LOCKWOOD

Accompanying this article is a photograph of Alger Hiss accompanied by several individuals including Manice de Forest Lockwood, III, who, it was reported, posted security for Hiss' \$10,000 bail bond. The article shows that Manice de Forest Lockwood, III, was a friend of Alger Hiss and arranged Hiss' bond through the National Surety Company.

In requesting investigation, CSC furnished information in its reference files on Helen (Mrs. Benjamin) Buttenwieser who was listed by applicant as a reference. CSC material shows that Alger Hiss and his wife were reported in 1/23/50 issue of the "Washington Post," Washington, D. C., as having spent the night at the home of Mr. and Mrs. Buttenweiser. CSC material also shows that Alger Hiss was reported in May, 1950, to be employed in the law offices of Helen Buttenwieser. CSC advised that the "New York Times" of 5/3/50 contained an article in which Mrs. Helen Lehman Buttenwieser was described as a staunch supporter of Alger Hiss during Hiss' two trials. According to CSC material, one Helen Buttenwieser, was listed by House Committee on Un-American Activities (HCUA) as member of National Lawyers Guild in 1939 and Mrs. Benjamin Buttenwieser was listed by HCUA as a contributor of \$25 to "Social Work Today." The National Lawyers Guild was cited by HCUA as a communist front. "Social Work Today" was cited by HCUA as a communist magazine. Enclosed material should be reviewed for additional data and leads.

Benjamin Joseph Buttenwieser (whose wife was listed on his SF 84 dated 12/6/50 as Helen Lehman Buttenwieser), was investigated under Executive Order 9835 in 1951, New York file 121-10485. CSC advised on 4/18/52 that Mr. Buttenwieser, "resigned or otherwise separated from Federal service prior to decision on loyalty." New York should report characterizations of Helen and Benjamin Joseph Buttenwieser. (121-26307)

Reference is made to the investigation entitled "JAHAM, Espionage-R, Perjury," New York file 65-14902, Philadelphia file 65-2440, and Chicago file 65-3290. New York letter of 3/13/50 (copy of which is enclosed for the Louisville Office) contains information furnished by William Marshal Bullitt of the firm Bullitt, Dawson, and Tarrant, Kentucky Home Life Building, Louisville, Kentucky. Mr. Bullitt was reported to have stated that Agnese Nelms married Manice de Forest Lockwood, Jr., 1/2/50 and that she and Anne Winslow of the Carnegie Endowment for International Peace were very close to Alger Hiss. He said it was at their request that Lockwood went on Alger Hiss' bond. He said the two women were active in soliciting subscriptions to pay Hiss' expenses on appeal. He said that Manice de Forest Lockwood, Jr., was the son of the Chief Trust Officer of the New York Trust Company. A copy of New York letter of 3/13/50 is enclosed for information and assistance of the Louisville Office. (74-1333-4572)

Letter to New York
RE: MANICE de FOREST LOCKWOOD

Considerable information was developed during the "JAHAM" investigation concerning efforts by Manice de Forest Lockwood, III, to assist Alger Hiss in obtaining a new trial. In this connection reference is made to New York teletypes of 11/20/50, 11/24/50, and 2/16/52. Further reference is made to New York letter of 9/15/51; New York report of 2/29/52; Newark teletype of 12/22/50; Philadelphia letters of 12/6/50, 11/14/51, and 12/28/51; and Bulet to New York of 4/7/51. Page 140 of above-mentioned New York report of 2/29/52 indicates that applicant was associated with the Hiss Defense throughout both of Hiss' trials for perjury and was active in efforts to obtain a third trial. Bulet of 4/7/51 contained information furnished by Raymond Schindler of the Schindler Bureau of Investigation, New York City, who stated that applicant had identified himself as an investigator for Alger Hiss' defense and was attempting to find a flaw in the testimony concerning the identity of the typewriter used to type pertinent documents.

Above-mentioned Philadelphia letter of 11/14/51 showed that Mrs. Marie Lockwood, described as a friend, 200 E. 66th Street, New York City, made an unsuccessful attempt to visit Hiss at United States Penitentiary, Lewisburg, Pennsylvania, 5/2/51 and that Agnese Lockwood, also described as a friend, visited Hiss 8/3/51 with Priscilla Hiss. Philadelphia letter of 12/28/51 shows that Mrs. Maurice (Agnese) Lockwood, accompanied Priscilla Hiss on 8/3/51. It was stated that Mrs. Lockwood was denied permission to visit Hiss on both occasions because she was not on the approved list. It was stated that Hiss requested that Mrs. Helen Bittenwieser, whom he described as a friend, be placed on the list of approved correspondents. (74-1333-4783)

Boston report of 3/24/51 entitled "Nathaniel Aaron Denman, Espionage-R," Boston file 65-3397 (cc New York and Newark) shows that Manice de Forest Lockwood was mentioned in an anonymous letter dated 7/31/50 as having been friendly with "Joy and Nancy" who, it was indicated, may be identical with Joy Johnson and Nancy Weitheimer, who were described by Allan B. Murray, Assistant Director, Experimental Towing Tank, Hoboken, New Jersey, as outspoken communists. Report shows that Murray was interviewed by Newark Office in effort to identify the writer of the anonymous letter of 7/31/50. Mr. Murray said Manice de Forest Lockwood attempted to obtain employment with Experimental Towing Tank as an engineer following Hiss' conviction for perjury in New York City. (65-60085-3)

Letter to New York
RE: MANICE de FOREST LOCKWOOD

Albany report of 6/27/55 entitled "Alger Hiss, IS-R," Albany file 105-838. New York file 105-10101, contains information received from [redacted] Putney, Vermont, whose identity was concealed, that Agnese de F. Lockwood, pledged \$1,000 toward the tuition of Tony Hiss, son of Alger and Priscilla Hiss. New York report of 8/30/55 re Alger Hiss and New York report of 8/30/55 entitled "Priscilla Fansler Hobson Hiss, Espionage-R, ISA-50," New York file 65-15867, contain similar information. (105-2668-111, 123; 100-376016-52)

b7D

Boston letter of 1/26/54 entitled "Alger Hiss, Espionage-R, Perjury," Boston file 65-3251, shows that Agnes N. Lockwood was maker of a check dated 5/8/50 for \$200 drawn on the Houston National Bank, Houston, Texas. This check was made payable to Richard H. Field. Boston letter of 2/9/54 in same case shows that Agnes N. Lockwood was maker of a check dated 7/10/50 for \$500 payable to the Alger Hiss Defense Fund. This check was drawn on the Union National Bank, Houston, Texas. (74-1333-5609, 5623)

Boston report of 3/31/54 entitled "Alger Hiss Defense Fund, IS-R," Boston file 105-1152, shows that Agnes N. Lockwood was maker of a \$500 check dated 7/10/50 to the Alger Hiss Defense Fund. Boston letter of 5/10/54 in same case shows that Manice Lockwood, III, was maker of a \$4,000 check dated 4/1/50 to Richard Field. New York, in letter dated 6/3/54, advised that Manice F. Lockwood, III, and his wife had made various smaller contributions to the Alger Hiss Defense Fund. (100-409206-9, 16, 24)

The New York Office is requested to report basis for investigation and to characterize Alger and Priscilla Hiss.

CSC has conducted a limited amount of investigation concerning applicant and copies of reports thereof are enclosed for offices covering the places where investigation was conducted. CSC reports should be carefully reviewed and utilized in accordance with Section 69.F.24, Manual of Instructions. CSC reports are enclosed as follows: Phoenix: CSC report dated 9/15/60; New Haven: CSC report dated 9/14/60; New York: CSC report dated 9/8/60; WFO: CSC report dated 9/8/60. Copies of CSC forms DI-34 and DI-35 are enclosed for New York and WFO only. These forms were furnished by CSC.

10/27/60

AIRTEL

TO: SAC, WASHINGTON FIELD

FROM: SAC, NEW YORK (138-3449)

MANICE DE FOREST LOCKWOOD, aka
LEUN
BUDED 11/1/60

ReBulet to New York, 10/11/60.

ADRIAN MASSIE, reference, advised 10/26 that to best of knowledge applicant has not been employed since retiring from Laurence M. Marks and Co. in mid-1959. Stated, however, that he had received inquiry re possible employment of applicant from ROBERT GARNER, International Finance Corp., World Bank, Washington, DC. Stated he did not believe LOCKWOOD employed by GARNER due to info re association with HISS.

However, other sources believe LOCKWOOD employed by World Bank sometime during past year and was terminated upon expiration of contract.

Interview GARNER re this employment.

- 2 - Washington Field
- 1 - Bureau
- 1 - New York (138-3449)

BW:bjb
(5)

NOT RECORDED
13 OCT 28 1960

7/65
51 DEC 1 1960

Kisseloff-102976

UNITED STATES GOVERNMENT

Memorandum

TO : DIRECTOR, FBI

DATE: October 20, 1960

FROM : SAC, LOUISVILLE (138-161)

SUBJECT: MANICE de FOREST LOCKWOOD, aka.
APPLICANT, UNITED NATIONS
NEW YORK, NEW YORK
LOYALTY OF EMPLOYEES OF THE
UNITED NATIONS AND OTHER PUBLIC
INTERNATIONAL ORGANIZATIONS
BUDED: 11/1/60

ReBulet 10/11/60.

On 10/17/60, Mr. THOMAS M. BULLITT, Attorney, Kentucky Home Life Building, Louisville, Kentucky, advised SA's FRANK L. GRUBBS and GEORGE W. HUTCHISON as follows:

His father, WILLIAM MARSHALL BULLITT, who died in October, 1957, was a trustee of the Carnegie Endowment for International Peace, and had served as such for many years prior to his death.

Because of his association with the Carnegie Endowment for International Peace and because ALGER HISS had been President of that agency, WILLIAM MARSHALL BULLITT was very interested in the HISS case.

Mr. WILLIAM MARSHALL BULLITT studied and made an analysis of the testimony of WHITAKER CHAMBERS and ALGER HISS before the HCUA, copies of which he furnished to THOMAS F. MURPHY, now a Federal Judge in the Southern District of New York, who was the Prosecuting Attorney in HISS' case.

As result of his interest in the case, WILLIAM MARSHALL BULLITT was invited by THOMAS F. MURPHY to be present at the HISS trial. He did attend a number of sessions of this trial.

Mr. THOMAS BULLITT advised that the information which WILLIAM MARSHALL BULLITT furnished the New York Office of this Bureau in March of 1950 concerning AGNESE CARTER NELMS (MRS. MANICE de FOREST LOCKWOOD III) LOCKWOOD, and Miss ANN WINSLOW may have been obtained by him during the course of his activity in connection with the HISS trial, or it may have been obtained by him in connection with his association with the Carnegie Endowment for International Peace.

- RUC - 1 - 1
2 - Bureau
51 DEC 1 1960 (Info)
New York
Louisville (138-161)
GWB:mk (4)

REC-62
EX 109

138-4482-3
12 OCT 24 1960

EMP. SEC. SECT.
Kisseloff-102977

LS 138-161

In any event, the information was exclusively that of Mr. WILLIAM MARSHALL BULLITT insofar as Mr. THOMAS BULLITT knows. THOMAS BULLITT said that he himself has no personal knowledge of the situation and he was sure that there was no personal association between his father and any of the individuals involved.

UAC, no further action is being taken by Louisville and no report will be submitted.

A copy of this letter is furnished to New York for information purposes in view of investigation pending in that office.

138-4482

Date: October 19, 1960

To: Office of Security
Department of State

From: John Edgar Hoover, Director

Subject: MANICE de FOREST LOCKWOOD
also known as "Chip,"
Manice de Forest Lockwood, III
Applicant
United Nations
New York, New York
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

A full field investigation is being conducted concerning the above-named applicant at the request of the Civil Service Commission, the basis being allegations that the applicant and his wife, Agnes Nelms Lockwood, were close friends of Alger Hiss; that they made monetary contributions to the Alger Hiss Defense Fund; and that the applicant was active in efforts to develop information upon which Alger Hiss might be able to obtain a new trial in the 1950's. Alger Hiss was convicted in January, 1950, on two counts of perjury arising out of his testimony before a Federal Grand Jury in the Southern District of New York in 1948. According to the "New York Herald Tribune" of January 26, 1950, Manice de Forest Lockwood, III, posted security for \$10,000 bond for Hiss' release from custody following his being sentenced on January 25, 1950, to five years in prison on each of the two counts of his perjury conviction.

Unverified information has been received that Manice de Forest Lockwood, III, has associated with two members of the Communist Party.

The applicant's ILB-2 form, four copies of which are enclosed, shows extensive foreign travel for the purpose of "tourism" and/or "business." It is requested that appropriate foreign investigation be conducted in this matter by the Department of State and

- 1 - Madrid
- 1 - Mexico
- 1 - Paris
- 1 - London

- 1 - Bonn
- 1 - Rome
- 1 - Tokyo
- 1 - Foreign Liaison Unit

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- 12 -

Kisseloff-102979

MAIL ROOM ☐ TELETYPE UNIT ☐

BY COURIER SVC.

13 OCT 20

COMM - FBI

Tolson
Mohr
Parsons
Belmont
Callahan
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Malone
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Rosen
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Trotter
W.C. Sullivan
Tele. Room
Ingram
Gandy

**Office of Security
Department of State**

that five copies of each of your reports be furnished to this Bureau at your earliest opportunity.

The foregoing is furnished for your information and investigative assistance and it should not be disseminated to unauthorized persons or agencies.

Enclosures (4)

10/21/60

AIRTEL

TO: SAC, New York

FROM: SAC, Philadelphia (138-923)

SUBJECT: MANICE de FOREST LOCKWOOD;
UN;
LEUN
(BUDED 11/1/60)

ReBulet to New York dated 10/11/60.

Philadelphia letter to Bureau dated 12/28/51 referred to in relet contains the following information:

ALGER HISS requested that Mrs. HELEN BUTTENWISER, friend, 17 East 73rd Street, New York, N. Y., be placed on the list of authorized correspondence but same was denied. No reason was furnished. The name of "Mrs. HELEN BUTTENWISER, 150 Broadway, New York, N. Y.," was listed as a correspondent to ALGER HISS but one whose correspondence was returned as she was not on the list of authorized correspondence. Her correspondence was returned 8/20/51.

It is noted that the employee lists among his references one Mrs. HELEN BUTTENWISER, 535 Fifth Avenue, New York, whom he has known 12 years.

2 - New York
1 - Bureau
1 - Philadelphia (138-923)

PPG:JAV
(4)

NOT RECORDED
12 OCT 24 1960

EMP. SEC. SECT.

51 DEC 1 1960
7165

Kisseloff-102981

PH 138-923

In the event New York interviews Mrs. HELEN BUTTENWIESER, 535 Fifth Avenue, New York, it should be determined from her whether she has ever resided at 17 East 73rd Street and 150 Broadway, both New York City. This information should be obtained in a circumspect manner.

FROHBOS E

FEDERAL BUREAU OF INVESTIGATION

Reporting Office PHILADELPHIA	Office of Origin BUREAU	Date 10/27/60	Investigative Period 10/25/60
TITLE OF CASE MANICE DE FOREST LOCKWOOD United Nations		Report made by PAUL B. GIBSON	Typed By: MO
		CHARACTER OF CASE LEUN	

XXXXXX

Reference

Bulet to New York dated 10/11/60

-RUC-

Leads

NEW YORK (Information)

A copy of this report is being furnished New York in that applicant indicates employment and residence within that division and because report contains derogatory information.

Photo Unrecorded
CC TO: C.F.T.C.
REQ. REC'D 10/20/60
JUL 17 1975
ANS. BY: R.P. [signature]

y

A* COVER PAGE

Approved <i>[Signature]</i>	Special Agent in Charge	Do not write in spaces below	
Copies made: ⑥ - Bureau 1 - New York (Info.) 1 - Philadelphia (138-923)		138-4482-8	REC-33
		15 OCT 31 1960	EX-102

3 cc CSC
1 cc Department

51 DEC 1 1960

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7/65

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of:
Date:PAUL B. GIBSON
October 27, 1960

Office: Philadelphia, Pennsylvania

Field Office File No.:

Philadelphia 138-923

Bureau File No.:

Title:

MANICE DE FOREST LOCKWOOD
United Nations

Character:

LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Synopsis:

Records, U. S. Northeastern Penitentiary, Lewisburg, Pa., show "Mrs. MAURICE LOCKWOOD, friend, 200 East 66th Street, New York City," and "AGNESE LOCKWOOD, friend, NYC," while in company of PRISCILLA HISS, appeared to visit ALGER HISS at Penitentiary on 5/2/51 and 8/3/51, respectively. LOCKWOOD in both instances was not permitted to visit, as she was not a relative and did not have special permission for such visits.

- RUC -

PH 138-923

Miscellaneous

At Lewisburg, Pa.

FEDERAL BUREAU OF INVESTIGATION
INTERVIEW REPORTDate October 27, 1960

Mr. PAUL E. HARTMAN, Record Clerk, United States Northeastern Penitentiary, Lewisburg, Pa., made available the file of former inmate ALGER HISS, Registry #19137-NE. The file reflected the following:

When first committed to the United States Penitentiary, HISS prepared a form entitled "Approved Correspondents. In addition to those members of his immediate family, there appeared the name Mrs. HELEN BUTTENWIESER, identified as friend, 17 East 73rd Street, New York, N. Y. This form was dated March 29, 1951. BUTTENWIESER was not approved as a correspondent.

On August 20, 1951, a letter was returned to HELEN L. BUTTENWIESER, 150 Broadway, New York 38, N. Y., because she was not an approved correspondent.

There appears in his file a letter dated November 3, 1954, from Attorney CHESTER T. LANE of the law firm of Beer, Richards, Lane, Haller, and Bittenwieser, 150 Broadway, New York 38, N. Y., wherein LANE stated HELEN L. BUTTENWIESER is a member of his firm, and he requested permission of the Warden for her to accompany him on a visit to HISS on November 10, 1954, which visit was authorized.

An examination of the "Visitor's Voucher" prepared by the visitors to the inmates reflected the following:

On May 2, 1951, ANTHONY HISS, son, 22 East 8th Street, New York City, PRISCILLA HISS, wife, and "Mrs. MAURICE LOCKWOOD, friend, 200 East 66th Street, New York City," appeared to visit HISS. LOCKWOOD was not approved for the visit.

On August 3, 1951, PRISCILLA HISS, wife, New York City, and "AGNESE LOCKWOOD, friend, NYC," visited HISS. LOCKWOOD was not permitted to visit.

On April 10, 1953, HELEN L. BUTTENWIESER, 17 East 73rd

Interview with _____ File # Philadelphia 138-923
on 10/25/60 at United States Northeastern Penitentiary, Lewisburg, Pa. Date dictated 10/27/60
by Special Agent THOMAS E. SAUNDERS/MO

PH 138-923

Street, New York City, identified as an attorney, visited HISS. Again on November 10, 1954, BUTTENWIESER and CHESTER W. LANE, attorneys, visited HISS.

There was no other reference to BUTTENWIESER or LOCKWOOD in the Visitor's Vouchers of HISS's prison file.

Mr. PAUL E. HARTMAN stated that at the time of HISS's commitment policy of the Bureau of Prisons regarding visitors and correspondents was that the inmate could correspond with members of his immediate family and attorney of record. All others had to be investigated before they would be approved as correspondents. The same policy applies to visitors unless special permission is granted by the Warden or the Director of the Bureau of Prisons. It was his opinion the reason BUTTENWIESER was not originally approved as a correspondent was that she was neither a relative of HISS nor listed as an attorney of record. Later, however, she was indicated as his attorney of record.

Regarding LOCKWOOD, he pointed out there was nothing to indicate she was a relative, that special permission had never been requested to permit her to visit HISS, and for this reason on both occasions she was denied the privilege of a visit.

It was noted there was no further identifying data concerning BUTTENWIESER or LOCKWOOD in HISS's file at Lewisburg.

According to Mr. HARTMAN, Mr. JOHN T. WILLINGHAM, JR., Warden, United States Northeastern Penitentiary, Lewisburg, Pa., or his designated authorized representative, would be the appropriate person to appear before a Security Hearing Board and testify concerning the above information.

PH 138-923

Characterizations of ALGER and PRISCILLA HISS appear in the report of another office covering investigation in this matter.

On his Identification and Personnel Data for Employment of United States Citizen, bearing certification date of August 9, 1960, the applicant includes among his references one Mrs. HELEN BUTTENWIESER, 535 Fifth Avenue, New York. He showed he has known this individual for twelve years.



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

*In Reply, Please Refer to
File No.*

Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Report of: SA Paul B. Gibson

Date and Place: October 27, 1960
Philadelphia, Pennsylvania

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necessarily, copies should be made of this copy.

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recommendations nor conclusions of
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it and its contents are not to be
distributed outside your agency.

PH 138-923

Miscellaneous

At Lewisburg, Pa.

FEDERAL BUREAU OF INVESTIGATION
INTERVIEW REPORTDate October 27, 1960

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On August 30, 1951, a letter was returned to HELEN L. BUTTENWIESER, 150 Broadway, New York 38, N. Y., because she was not an approved correspondent.

There appears in his file a letter dated November 3, 1954, from Attorney CHESTER T. LANE of the law firm of Beer, Richards, Lane, Haller, and Buttenwieser, 150 Broadway, New York 38, N. Y., wherein LANE stated HELEN L. BUTTENWIESER is a member of his firm, and he requested permission of the warden for her to accompany him on a visit to HISS on November 10, 1954, which visit was authorized.

An examination of the "Visitor's Voucher" prepared by the visitors to the inmates reflected the following.

On May 2, 1951, ANTHONY HISS, son, 22 East 8th Street, New York City, PRISCILLA HISS, wife, and Mrs. MAURICE LOCKWOOD, friend, 200 East 68th Street, New York City, appeared to visit HISS. LOCKWOOD was not approved for the visit.

On August 3, 1951, PRISCILLA HISS, wife, New York City, and AGNESE LOCKWOOD, friend, NYC, visited HISS. LOCKWOOD was not permitted to visit.

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Interview with _____ File # Philadelphia 138-923
on 10/25/60 at United States Northeastern Penitentiary, Lewisburg, Pa. Date dictated 10/27/60
by Special Agent THOMAS E. SAUNDERS/MO

PH 138-923

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PH 138-923

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FEDERAL BUREAU OF INVESTIGATION

Reporting Office ALBANY	Office of Origin BUREAU	Date 10/28/60	Investigative Period 10/21/60
TITLE OF CASE MANICE de FOREST LOCKWOOD, Aka. "Chip," Manice de Forest Lockwood, III Applicant United Nations New York, New York		Report made by JOSEPH HOLIDAY	Typed By: PAC
		CHARACTER OF CASE LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS	

REFERENCE:

Bureau letter to New York dated 10/11/60.

-R U C-

INFORMANTS:

Identity of Source

File Where Located

T-1 [redacted]
[redacted]
[redacted] Putney, Vermont;
former [redacted]

Albany 105-838-8, p.4

b7D

Careful consideration has been given to the use of a T symbol in this report and it was used only where absolutely necessary.

Approved <i>[Signature]</i>	Special Agent In Charge	Do not write in spaces below	
Copies made: 6-Bureau (RM) 1-Albany (138-771)		138-4482-9	REC-33
		15 OCT 31 1960	
		EMP. SUBJECT.	

3 cc CSC
1 cc Department

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SEE REVERSE SIDE FOR
ADDITIONAL INFORMATION.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA JOSEPH HOLIDAY
Date: 10/28/60

Office: ALBANY

Field Office File No.:
Albany 138-771

Bureau File No.:

Title:
MANICE de FOREST LOCKWOOD
Applicant
United Nations
Character: New York, New York
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER PUBLIC
INTERNATIONAL ORGANIZATIONS

Synopsis:

Confidential Informant AL T-1 stated that prior to 6/22/55 Mrs. AGNESE de F. LOCKWOOD, New York City, had pledged \$1000.00 towards tuition of TONY HISS at the Putney School, Putney, Vermont, leaving word at the school that she did not want the HISS family to know the source of the pledge. AL T-1 declined to furnish statement, and is not available to appear before Security Hearing Board to testify because of business commitments and because such testimony would

-R U C-

b7D

RESULTS OF INVESTIGATION

On June 22, 1955, Confidential Informant AL T-1 stated that he had learned that Mrs. AGNESE de F. LOCKWOOD, 200 East 66th Street, New York City, had pledged \$1,000.00 towards the tuition of TONY HISS at the Putney School, Putney, Vermont. AL T-1 stated that TONY HISS is the son of ALGER HISS, former employee of the State Department of the United States. AL T-1 stated that Mrs. LOCKWOOD had left word at the Putney School that she did not want the HISS family to know the source of the above-mentioned pledge.

On October 21, 1960, AL T-1 stated that he recalled that information and that is exactly the way he had reported the information in 1955. He could furnish no additional pertinent data.

AL T-1 declined to furnish a signed statement because the information was furnished to him on a very confidential basis and he stated that such information could not be disclosed as coming from him because it would

[REDACTED]

b7D

AL T-1 stated that he is not available to appear before a Security Hearing Board to testify because of business commitments and because such testimony would

[REDACTED]



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

*In Reply, Please Refer to
File No.*

**Albany, New York
October 28, 1960**

**Title MANICE de FOREST LOCKWOOD
 Applicant
 United Nations
 New York, New York**

**Character LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
 AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS
Reference**

**Report of SA JOSEPH HOLIDAY dated 10/28/60 at
Albany.**

All sources (except any listed below) used in referenced
communication have furnished reliable information in the past.

3 cc - CSC
1 cc Department



**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

*In Reply, Please Refer to
File No.*

**Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS**

Report of: SA Joseph Holiday

**Date and Place: October 28, 1960,
Albany, New York**

This is amended copy of LEUN report and should not be removed from file for dissemination purposes. If dissemination necessary, copies should be made of this copy.

- 1 -

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Kisseloff-102998

RESULTS OF INVESTIGATION

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b7D



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Albany, New York
October 28, 1960

Title

MANICE de FOREST LOCKWOOD
Applicant
United Nations
New York, New York

Character

LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Report of SA JOSEPH HOLIDAY dated 10/28/60 at
Albany.

All sources (except any listed below) used in referenced
communication have furnished reliable information in the past.

FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEWARK	Office of Origin BUREAU	Date 10/28/60	Investigative Period 10/13-27/60
TITLE OF CASE MANICE DE FOREST LOCKWOOD, aka. "Chip", Manice De Forest Lockwood, III United Nations New York, New York		Report made by FRED V. CROWLEY	Typed By: emg
		CHARACTER OF CASE LEUN	

REFERENCE

Bureau letter to New York, 10/11/60

- RUC -

INFORMANTS

Newark informants referred to are [redacted] contacted
10/26/60 by SA HAROLD D. MILLER and [redacted] and [redacted]
contacted 10/27/60 by SA HAROLD D. MILLER.

b7D

Approved <i>[Signature]</i>	Special Agent in Charge	Do not write in spaces below	
Copies made: ⑥ - Bureau 1 - Newark (138-1062) 3 cc CSC 1 cc Department		138-4482-10	REC-33
		15 OCT 31 1960	
		EMERGENCY SECT.	

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Cover Page

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: **FRED V. CROWLEY**
Date: **October 28, 1960**

Office: **NEWARK, NEW JERSEY**

Field Office File No.: **Newark 138-1062**

Bureau File No.:

Title: **MANICE DE FOREST LOCKWOOD**
United Nations
New York, New York

Character: **LOYALTY OF EMPLOYEES OF THE UNITED NATIONS**
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Synopsis:

Applicant's employment at Stevens Institute of Technology, Hoboken, N. J. verified and favorable. Former supervisor advised that he recalled reading in a New York City newspaper that the applicant had been active in attempting to assist ALGER HISS at the time of his trial for perjury. No credit or arrest record. Applicant unknown to Newark informants.

- RUC -

NK 138-1062

RESULTS OF INVESTIGATION

EMPLOYMENT

Stevens Institute of Technology
Hoboken, New Jersey

On October 17, 1960, Miss PAULINE CLARK, Personnel Assistant, advised that her records reflect that the applicant was employed as a computer in the Experimental Towing Tank from January 3, 1944 until June 1, 1946. She said that he had been given a leave of absence effective February 28, 1946 because of ill health and he has not returned to work so that his employment was terminated as of June 1, 1946. She said that his work was satisfactory and he is eligible for re-employment.

On October 17, 1960, Dr. ALLAN B. MURRAY, Director of the David Laboratory which was formerly known as the Experimental Towing Tank advised that his personal records reflect that the applicant was employed as a computer on January 3, 1944. He stated that his records indicated that LOCKWOOD received promotions and raises on the following dates:

October 1, 1944
January 1, 1945
September 1, 1945
November 1, 1945

He stated that his work was very satisfactory but LOCKWOOD had left in order to go to Arizona because he had a chronic asthmatic condition and his work in the Experimental Tank aggravated this condition.

MURRAY said that he recalled having read in a New York newspaper in about 1950 that the applicant had been active in trying to raise bail funds for ALGER HISS, who was charged at that time with having committed perjury in his testimony relating to his Communist activities. MURRAY said that he was not clear as to the part that LOCKWOOD had played in his association with HISS and he has not had any association with the applicant since LOCKWOOD left the laboratory.

MURRAY said that based upon his personal observation of the applicant, he would not have any reason to question his loyalty inasmuch as none of his actions or conversations while in the laboratory had indicated any pro-Communist sympathies.

NK 138-1062

On October 17, 1960, Mr. ANTHONY SUAREZ, Tank Supervisor, advised that he had been the applicant's immediate supervisor during his period of employment at the laboratory. SUAREZ said that LOCKWOOD had been a very enthusiastic employee and had been very capable in handling his work. He said that he had had numerous conversations with LOCKWOOD. He said that during the two years that LOCKWOOD worked under his supervision, he had never observed anything which would cause him to question LOCKWOOD's loyalty. He added that LOCKWOOD appeared to be an extremely well bred person and he would consider him a person of good character, reputation and associates.

On October 17, 1960, Mr. JOHN DRISKO, Division Supervisor, recalled that the applicant had worked under his general supervision for about two years, but he had not had any close contact with LOCKWOOD in the laboratory. He stated that he knew of no reason to question LOCKWOOD's loyalty, character, reputation or associates.

CREDIT AND ARREST

On October 20, 1960, SARA LATONA, Receptionist, Garden State Credit Bureau, 429 Getty Avenue, Clifton, N. J. advised that she had no record concerning the applicant.

On October 17, 1960, Patrolman FRANCIS CALLAHAN, Hoboken, N. J. Police Department advised that he had no record concerning the applicant.

MISCELLANEOUS

Newark informants who are familiar with some phases of Communist activity in Hoboken, N. J. advised that they do not know the applicant.



**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

*In Reply, Please Refer to
File No.*

**Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS**

Report of: SA Fred V. Crowley

**Date and Place: October 28, 1960
Newark, New Jersey**

- 1 -

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Kisseloff-102005
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RESULTS OF INVESTIGATION

EMPLOYMENT

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Hoboken, New Jersey

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On October 17, 1960, Dr. ALLAN B. MURRAY, Director of the David Laboratory which was formerly known as the Experimental Towing Tank advised that his personal records reflect that the applicant was employed as a computer on January 3, 1944. He stated that his records indicated that LOCKWOOD received promotions and raises on the following dates:

October 1, 1944
January 1, 1945
September 1, 1945
November 1, 1945

He stated that his work was very satisfactory but LOCKWOOD had left in order to go to Arizona because he had a chronic asthmatic condition and his work in the Experimental Tank aggravated this condition.

MURRAY said that he recalled having read in a New York newspaper in about 1950 that the applicant had been active in trying to raise bail funds for ALGER HISS, who was charged at that time with having committed perjury in his testimony relating to his Communist activities. MURRAY said that he was not clear as to the part that LOCKWOOD had played in his association with HISS and he has not had any association with the applicant since LOCKWOOD left the laboratory.

MURRAY said that based upon his personal observation of the applicant, he would not have any reason to question his loyalty inasmuch as none of his actions or conversations while in the laboratory had indicated any pro-Communist sympathies.

NK 138-1062

On October 17, 1960, Mr. ANTHONY SUAREZ, Tank Supervisor, advised that he had been the applicant's immediate supervisor during his period of employment at the laboratory. SUAREZ said that LOCKWOOD had been a very enthusiastic employee and had been very capable in handling his work. He said that he had had numerous conversations with LOCKWOOD. He said that during the two years that LOCKWOOD worked under his supervision, he had never observed anything which would cause him to question LOCKWOOD's loyalty. He added that LOCKWOOD appeared to be an extremely well bred person and he would consider him a person of good character, reputation and associates.

On October 17, 1960, Mr. JOHN DRISKO, Division Supervisor, recalled that the applicant had worked under his general supervision for about two years, but he had not had any close contact with LOCKWOOD in the laboratory. He stated that he knew of no reason to question LOCKWOOD's loyalty, character, reputation or associates.

CREDIT AND ARREST

On October 20, 1960, SARA LATONA, Receptionist, Garden State Credit Bureau, 429 Getty Avenue, Clifton, N. J. advised that she had no record concerning the applicant.

On October 17, 1960, Patrolman FRANCIS CALLAHAN, Hoboken, N. J. Police Department advised that he had no record concerning the applicant.

MISCELLANEOUS

Newark informants who are familiar with some phases of Communist activity in Hoboken, N. J. advised that they do not know the applicant.

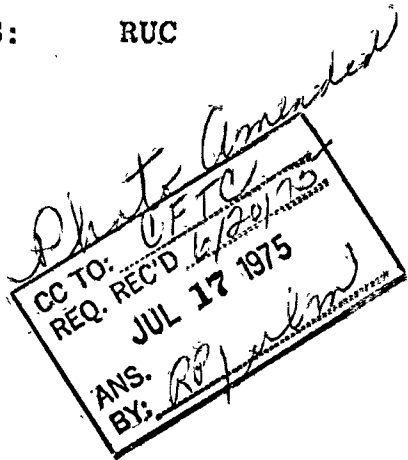
FEDERAL BUREAU OF INVESTIGATION

Reporting Office JACKSONVILLE	Office of Origin BUREAU	Date 10/31/60	Investigative Period 10/28/60
TITLE OF CASE MANICE DE FOREST LOCKWOOD aka "Chip" Applicant - United Nations New York, New York		Report made by FRED E. GORDON	Typed By: gjm
		CHARACTER OF CASE LOYALTY OF EMPLOYEES OF UNITED NATIONS	

XXXXXXXX

REFERENCE: Bureau letter to New York, 10/11/60.
New York airtel, 10/26/60.

STATUS: RUC



y

Approved <i>SKB</i>	Special Agent In Charge	Do not write in spaces below	
Copies made: 6 - Bureau (RM) 1 - Jacksonville (138-18)		138-4482-11	REC-33
		NOV 1 1960	

3 cc CSC

1 cc Department

A*
COVER PAGE

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA FRED E. GORDON
Date: October 31, 1960

Office: JACKSONVILLE

Field Office File No.: Jacksonville 138-18

Bureau File No.:

Title: MANICE DE FOREST LOCKWOOD
Applicant - United Nations
New York, New York

Character: LOYALTY OF EMPLOYEES OF UNITED NATIONS

Synopsis: CHARLES M. ZINGRAF, located Daytona Beach, Florida, states first met LOCKWOOD when LOCKWOOD joined firm of Laurence M. Marks and Company, New York City, in 1951. States LOCKWOOD first hired as Junior Analyst and, in January 1956, made full partner. It is his recollection LOCKWOOD quit above firm in May 1958, giving as reason he wanted to travel and did not want to be confined to desk work. Claims had absolutely no reason to question veracity of LOCKWOOD's statements or claims, his character, his reputation, his associates, his sobriety, or his loyalty. Advised he has no information regarding any association LOCKWOOD or wife had with ALGER HISS although recalled EMORY MORSE, salesman of firm, mentioned, LOCKWOOD knew HISS. Stated understood wife had worked for Ford and Carnegie Foundations. Recalls LOCKWOOD's saying father had disinherited him because of a disagreement. Described LOCKWOOD and wife, whom he knew only casually, as exceptionally brilliant and would recommend.

RUC

MANICE DE FOREST LOCKWOOD
United Nations

RESULTS OF INVESTIGATION

On October 28, 1960, CHARLES M. ZINGRAF, Owner-Manager, Miramar Cottages, 2221 South Atlantic Avenue, Daytona Beach, Florida, located and interviewed. He advised that he first met "CHIP" LOCKWOOD in 1951 when LOCKWOOD joined the firm of Laurence M. Marks and Company, New York, New York, an investment and security firm of which he, ZINGRAF, was a partner. According to ZINGRAF, LOCKWOOD was first hired as a Junior Analyst and, in approximately January 1956, he was made a full partner. ZINGRAF advised that it is his recollection that LOCKWOOD quit the firm of Laurence M. Marks and Company in May 1958, giving as his reason that he wanted to travel and did not want to be confined to desk work.

According to ZINGRAF, from the time LOCKWOOD became a full partner in the firm to May 1958, he, ZINGRAF, had a daily contact with LOCKWOOD, excluding, of course, the time LOCKWOOD spent traveling for the company which he estimated to be about twenty per cent of his time.

ZINGRAF stated that in this close association and contact with LOCKWOOD he had absolutely no reason whatsoever to question the veracity of LOCKWOOD's statements or claims, his character, his reputation, his associates, his sobriety, or his loyalty.

ZINGRAF advised that he had no information relative to any association LOCKWOOD or his wife may have had with ALGER HISS; however, ZINGRAF stated he can recall that EMORY MORSE, a salesman for the firm of Laurence M. Marks and Company, once mentioned when the HISS case was being given a lot of publicity in the newspaper that LOCKWOOD knew HISS. In addition, ZINGRAF recalled that as he understood it, LOCKWOOD's wife, whom he knew only as "AGGIE," had worked at one time or another for the Ford and Carnegie Foundations. ZINGRAF advised that insofar as LOCKWOOD's wife is concerned, he knew her only casually.

JK 138-18

ZINGRAF advised that he can recall that on one occasion LOCKWOOD mentioned to him that his father had disinherited him because of some disagreement.

ZINGRAF stated that he would describe LOCKWOOD and his wife as exceptionally brilliant people and people that he could recommend.



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

*In Reply, Please Refer to
File No.*

Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Report of: SA Fred E. Gordon

Date and Place: October 31, 1960
Jacksonville, Florida

- 1 -

This is amended copy of LEUN report and should not be used for dissemination. If dissemination necessary, copies should be made of this copy.

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Kisseloff-108012

MANICE DE FOREST LOCKWOOD
United Nations

RESULTS OF INVESTIGATION

On October 28, 1960, CHARLES M. ZINGRAF, Owner-Manager, Miramar Cottages, 2321 South Atlantic Avenue, Daytona Beach, Florida, located and interviewed. He advised that he first met "CHIP" LOCKWOOD in 1951 when LOCKWOOD joined the firm of Laurence M. Marks and Company, New York, New York, an investment and security firm of which he, ZINGRAF, was a partner. According to ZINGRAF, LOCKWOOD was first hired as a Junior Analyst and, in approximately January 1956, he was made a full partner. ZINGRAF advised that it is his recollection that LOCKWOOD quit the firm of Laurence M. Marks and Company in May 1958, giving as his reason that he wanted to travel and did not want to be confined to desk work.

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JK 138-18

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FEDERAL BUREAU OF INVESTIGATION

Reporting Office BOSTON	Office of Origin BUREAU	Date 10/31/60	Investigative Period 10/27/60
TITLE OF CASE MANICE de FOREST LOCKWOOD aka. "Chip", Manice de Forest Lockwood, III Applicant - United Nations		Report made by LOUIS H. BEIGBEDER	Typed By: baf
		CHARACTER OF CASE LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER PUBLIC INTER- NATIONAL ORGANIZATIONS	

XXXXXX
Synopsis

REFERENCE: Bureau letter to New York dated 10/11/60.

- RUC -

INFORMANTS:

Boston T-1 is [REDACTED]
[REDACTED]

Informants contacted during 10/60 are identified as follows:

b7D

ADMINISTRATIVE:

Careful consideration has been given to the source concealed and a "T" symbol was used only in those instances where the identity of the source must be concealed.

Approved: [Signature]	Special Agent In Charge	Do not write in spaces below	
Copies made: 6 - Bureau 1 - Boston (138-1383)		138-4482-13	REC-33
		NOV 3 1960	
		[REDACTED]	

3 cc CSC
1 cc Department

9/65

51 DEC 1 1960

SEE REVERSE SIDE FOR
Kisseloff-103015

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: SA LOUIS H. BEIGBEDER
Date: October 31, 1960

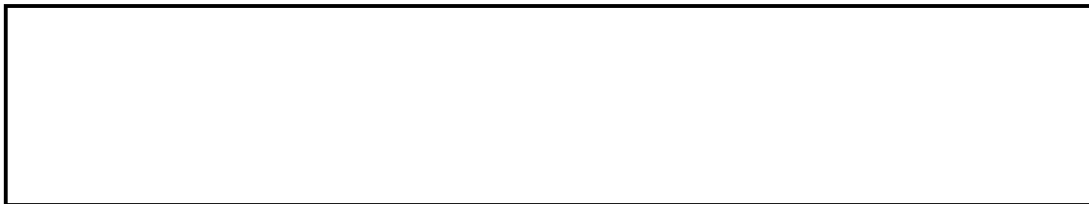
Office: BOSTON

Field Office File No.: Boston 138-1383

Bureau File No.:

Title: MANICE de FOREST LOCKWOOD,
aka. "Chip", Manice de Forest Lockwood III
Applicant - United NationsCharacter: LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER
PUBLIC INTERNATIONAL ORGANIZATIONS

Synopsis:



b7D

- RUC -

Kisseloff-103016

BS 138-1383

RESULTS OF INVESTIGATION

During May, 1953, Boston T-1 advised that [REDACTED]

[REDACTED]

b7D

[REDACTED]

[REDACTED]

On an unspecified date, Boston T-1 advised that a

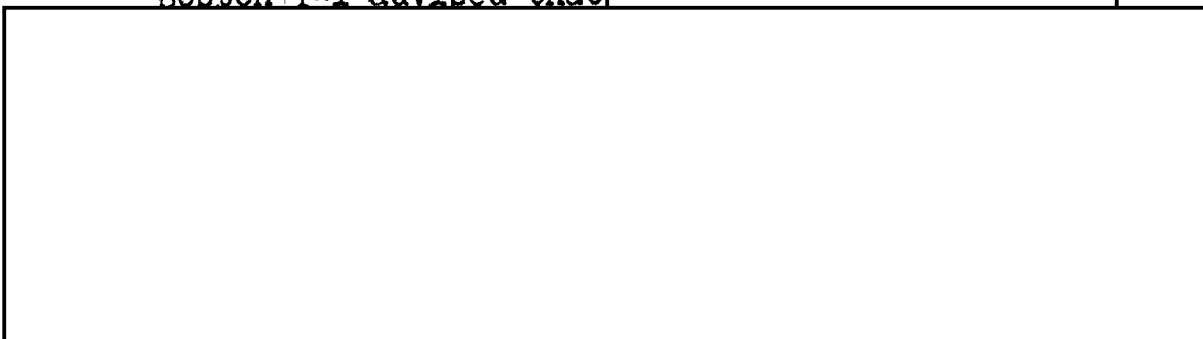
[REDACTED]



On an unspecified date prior to February, 1954,
Boston T-1 advised [redacted]



Boston T-1 advised that [redacted]



Boston T-1 advised that [redacted]

[redacted] he would furnish this
information before a Security Hearing Board upon presentation
of a subpoena duces tecum.

An anonymous letter postmarked August 10, 1950,
Brooklyn, New York, addressed to "FBI, Foley Square, N.Y.C.,
N.Y.", and dated July 31, 1950, sets out that the writer
is employed at the Experimental Towing Tank, Stevens
Institute of Technology, Hoboken, New Jersey, and further
that most of the work is "Navy or Bureau of Ordinance."
In this letter it is stated that "MANICE de FOREST LOCKWOOD III,
who recently was in the papers as the man who put up \$10,000
bond for ALGER HISS, also worked here during the war and was
friendly with JOY and NANCY.

BS 138-1383

The letter stated further that "just before and during the war we had JOY JOHNSON (now Mrs. PIERCE), NANCY WERTHEIMER... working with us. They tried to get converts to the Party and succeeded in influencing one girl... They were not important insofar as being in contact with classified material but it seemed that they were the nucleus for a communist cell here. They distributed tickets for rallies in Madison Square Garden sponsored by the Party and solicited funds for the last big GM strike... They also passed out form postcards of 'Bring the Boys Home' at the end of the war. All were avid Daily Worker readers..."

The Daily Worker was an east coast communist newspaper which ceased publication on January 13, 1958.

MISCELLANEOUS

In October, 1960, other Boston informants who are familiar with communist activities in Massachusetts advised that MANICE de FOREST LOCKWOOD, also known as "CHIP" and MANICE de FOREST LOCKWOOD, III, and AGNES NELMS LOCKWOOD were unknown to them and they could furnish no information concerning them.



In Reply, Please Refer to
File No.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Boston, Massachusetts
October 31, 1960

Title MANICE de FOREST LOCKWOOD
United Nations

Character LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZA-
Reference TIONS
Report of Special Agent LOUIS H.
BEIGBEDER dated October 31, 1960,
at Boston, Massachusetts.

All sources (except any listed below) used in referenced
communication have furnished reliable information in the past.

3 cc CSC
1 cc Department



**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

*In Reply, Please Refer to
File No.*

**Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS**

Report of: SA Louis H. Beigbeder

**Date and Place: October 31, 1960
Boston, Massachusetts**

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This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency. It and its contents are not to be distributed outside your agency.

Kisseloff-108021

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During May, 1953, Boston Tel advised that

On an unspecified date, Boston Tel advised that a

[REDACTED]

On an unspecified date prior to February, 1954,
Boston T-1 advised that [REDACTED]

[REDACTED]

Boston T-1 advised that [REDACTED]

[REDACTED]

Boston T-1 advised that [REDACTED]

[REDACTED] he would furnish this
information before a Security Hearing Board upon presentation
of a subpoena duces tecum.

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In this letter it is stated that "MANICK de FOREST LOCKWOOD III,
who recently was in the papers as the man who put up \$10,000
bond for ALGER HISS, also worked here during the war and was
friendly with JOY and NANCY.

BS 138-1383

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*In Reply, Please Refer to
File No.*

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

**Boston, Massachusetts
October 31, 1960**

**Title MANICE de FOREST LOCKWOOD
United Nations**

**Character LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZA-
Reference TIONS
Report of Special Agent LOUIS H.
BEIGBEDER dated October 31, 1960,
at Boston, Massachusetts.**

All sources (except any listed below) used in referenced communication have furnished reliable information in the past.

FEDERAL BUREAU OF INVESTIGATION
U. S. DEPARTMENT OF JUSTICE
COMMUNICATIONS SECTION

OCT 31/1960

TELETYPE

Mr. Tolson	_____
Mr. Mohr	_____
Mr. Parsons	_____
Mr. Belmont	_____
Mr. Callahan	_____
Mr. DeLoach	_____
Mr. Malone	_____
Mr. McGuire	_____
Mr. Rosen	_____
Mr. Tamm	_____
Mr. Trotter	_____
Mr. W.C. Sullivan	_____
Tele. Room	_____
Mr. Ingram	_____
Miss Gandy	_____

U R G E N T 10-31-60 10-50 AM BRF

TO DIRECTOR, FBI AND SAC, NEW YORK
FROM SAC, JACKSONVILLE /138-18/

NEW YORK VIA WASHINGTON

MANICE DE FOREST LOCKWOOD, AKA CHIP, APPLICANT, UNITED NATIONS,
NEW YORK, NEW YORK, LEUN. RENYAIRTEL OCTOBER TWENTYSIX,
SIXTY. CHARLES M. ZINGRAF, FORMER PARTNER IN FIRM OF LAURENCE M.
MARKS AND COMPANY, NEW YORK CITY, LOCATED DAYTONA BEACH, FLORIDA,
AND STATED EMORY MORSE, A FORMER SALESMAN ABOVE FIRM, NOW
SEMI-RETIRED AND LIVING MARTHA-S VINEYARD, MASSACHUSETTS, MAY
POSSIBLY KNOW OF ASSOCIATION LOCKWOOD HAD WITH ALGER HISS.
RECALLS WHEN HISS CASE RECEIVING NEWSPAPER PUBLICITY AT
TIME MORSE MENTIONED CASUALLY TO HIM THAT LOCKWOOD KNEW HISS.
IT CAN BE ADDED ZINGRAF KNOWS OF NO ASSOCIATES OF LOCKWOOD PRIOR
TO NINETEEN FIFTYONE. INASMUCH AS IT IS NOT KNOWN WHETHER OR
NOT MORSE HAS EVER BEEN INTERVIEWED IN THIS CASE, NEW LEAD BEING
LEFT TO THE DISCRETION OF THE NEW YORK OFFICE.

END

10-50 AM OK FBI WA NM R RELAY

TU IDO

REC-33

EX-102

RELAYED TO

13 NOV 28 1960

51 DEC 1 1960

Kisseloff-103026

FEDERAL BUREAU OF INVESTIGATION

Reporting Office NEW YORK	Office of Origin BUREAU	Date 11/9/60	Investigative Period 10/17 - 11/3/60
TITLE OF CASE MANICE de FOREST LOCKWOOD aka "Chip", Manice de Forest Lockwood, III Applicant United Nations New York, New York		Report made by BERTRAM WORTHINGTON	Typed By: map
		CHARACTER OF CASE LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS	

REFERENCE:

Bureau letter to New York, 10/11/60.
Chicago airtel to New York, 10/20/60.
Philadelphia airtel to New York, 10/21/60.

- RUC -

Photo mounted
CC TO: C.F.T.C.
REQ. REC'D 6/20/75
JUL 17 1975
ANS. BY: R.P. [signature]

ADMINISTRATIVE:

A review of information contained in file references in Bulet, 10/11/60, initiating this investigation and other references in New York files, fails to reflect information pertinent to this investigation.

A review of the "JAHAM; ESP-R, PERJURY" file (NY 65-14920), reflects that LOCKWOOD, acting on behalf of HISS' attorneys, was endeavoring to seek new evidence concerning the HISS typewriter, or to have that typewriter duplicated, in order to diminish or impeach the government's typewriter testimony. Inasmuch as such action would be a

Approved <i>[Signature]</i>	Special Agent in Charge	Do not write in spaces below	
6 - Bureau 1 - New York (138-3449)		138-4444-16	REC-33
		15 NOV 14 1960	

3 cc CSC
1 cc Department

61 DEC 1 1960

EMP. SEC. SECT.

NY 138-3449

normal procedure on the part of the defense, information concerning LOCKWOOD's activities in this connection were not deemed pertinent and such information was not included in attached report.

INFORMANTS:

Identity of Source

File Number Where Located

NY T-1

Instant report

(own request)

b7D

NY T-2

(own request)

Careful consideration has been given to the sources concealed and T symbols were utilized in the report only in those instances where the identities of the sources must be concealed.

The confidential informants not otherwise identified in the attached report are:

b7D

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: BERTRAM WORTHINGTON Office: New York, New York
Date:

Field Office File No.: New York 138-3449 Bureau File No.:

Title: MANICE de FOREST LOCKWOOD
Applicant
United Nations
New York, New YorkCharacter: LOYALTY OF EMPLOYEES OF THE UNITED
NATIONS AND OTHER PUBLIC INTERNATIONAL
ORGANIZATIONS

Synopsis: Basis: Investigation conducted at specific request of CSC on information appearing in the "New York Herald Tribune" that applicant posted security for ALGER HISS on \$10,000 bail bond. Additional information set out concerning applicant's activities during the trials and the appeal of ALGER HISS. Education verified; no unfavorable information. Employment Time Life, Inc. not verified. Fellow partners at Laurence M. Marks Company do not recommend. Neighbors and references have no reason to question character or loyalty and recommend. No record applicant or family members, BSS or BCI, NYCPD. Applicant enjoys favorable credit record. Results of election check set out. Applicant and wife unknown to confidential informants having knowledge of some phases of CP activity in the Greater New York area.

- RUC -

NY 138-3449

BASIS FOR INVESTIGATION

This investigation was conducted at the specific request of the United States Civil Service Commission (CSC), Washington, D. C., under the provisions of Executive Order 10422, based upon information appearing on page one of the "New York Herald Tribune" issue of January 26, 1950, to the effect that MANICE de FOREST LOCKWOOD, III posted security for ALGER HISS' \$10,000 bail bond and indicated that LOCKWOOD was a friend of ALGER HISS.

ALGER HISS, a former employee of the United States State Department, was indicted on December 15, 1948, by a Special Grand Jury, United States District Court, Southern District of New York, on two counts of perjury arising out of his testimony that he had not turned over State Department documents to WHITTAKER CHAMBERS, a self-confessed Communist Party member and espionage agent, and had had no contact with CHAMBERS subsequent to January 1, 1937. ALGER HISS was convicted on both counts on January 21, 1950 and was sentenced to five years imprisonment on January 25, 1950.

RESULTS OF INVESTIGATION

On March 3, 1950, Mr. WILLIAM MARSHALL BULLITT, Bullitt, Dawson and Tarrant, Kentucky Home Life Building, Louisville, Kentucky, a trustee of the Carnegie Endowment for International Peace, advised by letter that Miss AGNESE CARTER NELMS, an employee of the Carnegie Endowment, married MANICE DE FOREST LOCKWOOD, Jr., on January 2, 1950, at Houston, Texas. He stated that Mr. LOCKWOOD had furnished the \$10,000 for ALGER HISS' bond pending his appeal. He stated that Mrs. LOCKWOOD and Miss ANNE WINSLOW, another Carnegie Endowment

NY 138-3449

employee, in some way were close to, and very fond of, Mr. HISS or Mrs. HISS or both, and that Mr. LOCKWOOD had furnished the bond money at their request.

On October 17, 1960, Mr. THOMAS M. BULLITT, Attorney, Kentucky Home Life Building, Louisville, Kentucky, advised SAS FRANK L. GRUBBS and GEORGE W. HUTCHINSON that his father, WILLIAM MARSHALL BULLITT, died in October, 1957. He advised that his father had been a trustee of the Carnegie Endowment for many years and because ALGER HISS had been President of that organization, had been very interested in the HISS case. He further advised that the information his father had furnished the Federal Bureau of Investigation may have been obtained by him in connection with the HISS trial or in connection with his association with the Carnegie Endowment. Mr. THOMAS BULLITT stated that he himself had no personal knowledge of the situation, and that he was sure that there had been no personal association between his father and any of the individuals involved.

The following is noted concerning Mrs. ALGER HISS (PRISCILLA FANSLER HISS):

WHITTAKER CHAMBERS, self-confessed former Soviet agent, has advised that sometime in the latter part of 1938, at a time some months after he himself had broken with the Communist Party, he made a special trip to Washington, D. C., for the purpose of talking ALGER HISS into breaking with the Communist Party. CHAMBERS stated that with tears streaming down his face, HISS refused to break with them, Communists, and gave as his reason for not breaking with them, his "loyalty" to his friends and principles. CHAMBERS stated that in his opinion, the strongest reason for HISS maintaining his status with the Party was the fanatical loyalty for the Communist Party on the part of PRISCILLA HISS, his wife.

The Communist Party has been designated by the Attorney General of the United States pursuant to Executive Order 10450.

NY 138-3449

Education

Columbia University
116th Street and Broadway
New York, New York

On October 28, 1960, Miss HELEN KNOELL, Clerk, Registrar's Office, advised SA JOHN A. ERWIN from records that MANICE de FOREST LOCKWOOD, III, born November 10, 1922, at New York, New York, and residing at 860 Park Avenue, New York, New York, was admitted to the graduate faculties as a mathematics major in September, 1948. He attended the academic year, 1948 - 1949, the summer session of 1949 and the 1949 - 1950 academic year. She advised that Mr. LOCKWOOD received no degree. She stated that the records reflected that he received an Arts Bachelor degree from Yale University in 1943 and had attended Brooklyn Polytechnic Institute in 1947 and 1948. She stated that his file contained no information of an unfavorable nature concerning his character or his loyalty to the United States.

On November 1, 1960, Miss BARBARA SEIF, Secretary, Graduate Mathematics Department, advised SA ERWIN that the only person she could suggest who might recall Mr. LOCKWOOD is Professor SAMUEL EILENBERG.

On November 1, 1960, Dr. SAMUEL EILENBERG advised SA ERWIN that he has no recollection of the applicant and stated that he was unable to suggest anyone in the Mathematics Department who would recall him.

The Polytechnic Institute of Brooklyn
333 Jay Street
Brooklyn, New York

On October 24, 1960, Mrs. PAULINE HANNUS, Clerk, Registrar's Office, advised SA JOHN A. BEHRINGER from

NY 138-3449

records that MANICE de FOREST LOCKWOOD, born November 10, 1922, at New York, New York, attended this college from September, 1947 until January, 1948, and from February, 1948 to June, 1948 in the evening session. She stated that his records reflect that he attended Yale University from 1937 to 1940, receiving an Arts Bachelor degree and in the summer of 1946 had attended the University of Arizona. His home address was listed as 860 Park Avenue, New York, New York, and his parents as MANICE and MABEL. Mrs. HANNUS stated that the file contained no information of an unfavorable nature concerning the character or the loyalty of the applicant. Mrs. HANNUS concluded by stating that due to the brevity of his attendance, the virtually complete turnover in the faculty of this school in the past 12 years and the large student enrollment, she was unable to suggest anyone who would recall the applicant.

Employment

Laurence M. Marks, Inc.
48 Wall Street
New York, New York

On October 25, 1960, Mr. RONALD BRALEY, Personnel Manager, Dean Witter and Company, 2 Broadway, New York, New York, advised that this firm and captioned firm merged in June, 1959 and that inasmuch as Mr. LOCKWOOD was a partner in the Marks firm, no personnel record was maintained. He stated that he was not personally acquainted with him but stated that several of the former Marks employees are now with this firm.

On October 25, 1960, Mr. ROBERT POWERS, formerly Chief Cashier in the Marks Company, advised that he had been acquainted with the applicant on a business basis only during his entire period of employment with that company, the period which he placed at 1951 to the middle of 1959, at which time Mr. LOCKWOOD retired. Mr. POWERS stated that

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he had had no reason whatsoever to question the character or the loyalty of Mr. LOCKWOOD and that he would recommend him for a position with the United States Government. He recalled that Mr. LOCKWOOD started in the Marks firm as a Security Analyst and was made a full partner in approximately January, 1956. He stated that he was not aware of what the applicant has been doing since his retirement but understands that he has devoted his time to travel.

On October 25, 1960, Mr. RICHARD MARSHALL, Falkner, Dawkins and Sullivan, 51 Broad Street, New York, New York, advised that after the death of LAURENCE MARKS, the company split and the older members of the firm went with Dean Witter and Company and the younger members formed their own company, of which he is now a member. He stated that he himself was formerly a partner in Laurence Marks and was made a partner at the same time as Mr. LOCKWOOD, in January, 1956. He stated that his only contact with Mr. LOCKWOOD was during business hours, except for one or two visits in the LOCKWOOD home on East 66th Street. He only met Mrs. LOCKWOOD on one occasion but knows that she was employed by the Carnegie Endowment for International Peace.

Mr. MARSHALL stated that of his own knowledge he had no reason to question the character or the loyalty of Mr. LOCKWOOD. He stated that nothing had arisen in any conversations with the applicant which would cause him to question his loyalty. He stated that at the time of the trials of ALGER HISS, information had appeared in the newspapers reflecting that the applicant had posted security for ALGER HISS' bail bond and he stated that he had been informed by a waitress at Massolette's Restaurant on Pine Street that at the time of the HISS trials, the applicant and HISS had eaten lunch there on several occasions. Mr. MARSHALL said that Mr. LOCKWOOD had never mentioned Mr. HISS or his association with HISS in his presence and that he could furnish no information as to the degree of association or the circumstances of their friendship. He concluded by stating that he would recommend Mr. LOCKWOOD for a position with the United States Government.

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On October 25, 1960, Mr. RICHARD DAWKINS, partner, Falkner, Dawkins and Sullivan, advised that he had been a very close friend of the applicant while both were with the Laurence Marks firm. He stated that his acquaintanceship was both on a business and a social basis. Mr. DAWKINS stated that it was common knowledge in the Marks firm that the applicant had been involved in the ALGER HISS defense but he stated that no mention of this had ever been made in any personal conversations he had with the applicant. He stated that from time to time over the years, Mr. LOCKWOOD had collaborated with his wife in her work at the Carnegie Endowment. He stated that it is his understanding that since his retirement, the applicant has been doing some work for the International Bank in Washington, D. C. Mr. DAWKINS stated that his association with the applicant has not been close in the past several years. He stated that in the field of securities, he is brilliant and has a great deal of ability. He said that he had no reason whatsoever to question his character or loyalty to the United States and that he would recommend him for a position of interest to the United States Government.

On October 25, 1960, Mr. ROBERT COLIN, Analyst, Falkner, Dawkins and Sullivan, advised that he had had a fairly close business and social acquaintanceship with MANICE LOCKWOOD from May, 1955 until Mr. LOCKWOOD retired from the Marks firm in May, 1959. He said that he still sees him occasionally on a social basis. Mr. COLIN went on to state that in conversations with Mr. LOCKWOOD, he understands that since his retirement, he had been working for the World Bank but that his contract with the World Bank has been completed. He stated that he believes he has also done some studies for the United Nations with his wife, Mr. LOCKWOOD doing photographic work for articles being prepared by his wife.

Mr. COLIN went on to state that he would definitely consider the applicant to be a "controversial character". He stated that he could not elaborate on this description.

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He said that in all his personal contacts with both Mr. and Mrs. LOCKWOOD neither ever displayed any pro-communist leanings but that on the contrary all of their comments were definitely anti-communist. He stated that it was common knowledge on Wall Street that the applicant had been involved in the defense of ALGER HISS. He stated that he has a vague recollection that either Mr. or Mrs. LOCKWOOD had been at school with ALGER HISS. He stated that the matter of ALGER HISS had never arisen in any of his discussions with the LOCKWOODS. Mr. COLIN concluded by stating that although he has had no reason to question the character or the loyalty of Mr. LOCKWOOD, he feels that because of his own lack of knowledge of the extent of the involvement of the LOCKWOODS with ALGER HISS, he would hesitate to recommend him for a position of interest to the United States.

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The following information was obtained from a former close business associate of MANICE de FOREST LOCKWOOD, New York T-1:

Informant advised that he was formerly [redacted] in the Marks Company and had been acquainted with "Chip" LOCKWOOD during his entire period of association with that firm. He stated that while with the Marks Company, LOCKWOOD had been an Electronics Specialist and had handled the analysis and sales for such accounts as International Business Machines and North American Aviation. He stated that Mr. LOCKWOOD is a brilliant mathematician and had been a very fine analyst.

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Informant went on to state that his association with LOCKWOOD had been chiefly on a business basis and added that he had met Mrs. LOCKWOOD on only two or three occasions. He understands that she was employed at the Carnegie Endowment for International Peace and had written several books for the Endowment. He went on to state that he has had no reason of his own personal knowledge to question the loyalty of Mr. LOCKWOOD. He stated that Mr. LOCKWOOD definitely indulges too heavily in the use of liquor and added that he considers him to be a psychopathic liar. Informant advised that in business matters Mr. LOCKWOOD had made several statements upon which major business decisions had been made and which were later found to be untrue and had cost the company a considerable amount of money.

He went on to state that on one occasion in his presence, Mr. LOCKWOOD had brought up the matter of ALGER HISS and at that time claimed to be a friend of his. He said that had it been known at the time of his employment that LOCKWOOD had been involved in the HISS defense he undoubtedly would not have been employed by the Marks firm. He said that in conversations with LOCKWOOD he had learned that Mrs. LOCKWOOD had also been friendly with HISS and had been active in his defense. He said that at one time LOCKWOOD had made the statement that he had been disowned by his father because of his activities on behalf of ALGER HISS.

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Informant went on to say that as far as his personal habits were concerned, he considered Mr. LOCKWOOD to be a sloppy individual with no idea of personal cleanliness. He said that oftentimes LOCKWOOD's business practices while with the Marks Company had been questionable and that he knows that LOCKWOOD is persona-non-grata in several major business firms in London, England. He stated that his work as an analyst was not reliable. He amplified this latter statement by stating that when Mr. LOCKWOOD came to the Marks firm as an analyst, he exhibited a brilliance in mathematics and analysis and his reports were excellent. He said that it later developed that on several occasions LOCKWOOD had not actually contacted the firms concerning whom he wrote reports, oftentimes there being a matter of years between contacts, and had merely written reports on a guesswork basis. He said that in the final analysis, he considers "Chip" LOCKWOOD to be very immature. He feels that Mr. LOCKWOOD may be seeking a position with the United States in order to obtain free travel to look after his outside business interests overseas and that he believes because of his business interests overseas, there may be a conflict with his official duties. He believes that Mr. LOCKWOOD had a financial interest in a steel mill in India and in another concern in Italy.

Informant stated that as an instance of immaturity on the part of Mr. LOCKWOOD, he recalls that he always carried a switchblade knife. He concluded by stating that he wanted to emphasize the fact that he has had no reason to ever question the loyalty of Mr. LOCKWOOD to the United States but stated that because of the unknown relationship with ALGER HISS and his reasons for defending HISS, he does not believe that he could recommend LOCKWOOD for a position of interest to the United States.

Date 11/3/60

The following information was obtained from a former close business associate of MANICE de FOREST LOCKWOOD, New York T-2, who advised that he had been acquainted with him during his entire period with the Marks firm:

Informant said that LOCKWOOD had joined the firm as an analyst and had done a very brilliant job. He was generally considered to be a mathematical and electronic genius, and based upon his fine work in January, 1956, had been made a full partner in the firm. He said that it had been felt that Mr. LOCKWOOD did not devote sufficient of his time to business, oftentimes accompanying his wife on her trips on behalf of the Carnegie Endowment for International Peace and being away for several months at a time. He also advised that it later developed that on several occasions the stock analysis made by LOCKWOOD had been poor figments of imagination and on at least one occasion these false analyses had resulted in a considerable amount of loss to the firm. He said that in his own opinion, Mr. LOCKWOOD's business practices had sometimes been on the "shady side" while working for the Marks Company.

Informant considers LOCKWOOD to be very immature and to drink too heavily. He said that he, of his own knowledge, has never had the slightest reason to question Mr. LOCKWOOD's loyalty to the United States or the principles of democracy. He said, however, that it had been well known along Wall Street, both from the newspapers and through rumor, that LOCKWOOD had been deeply involved in the court trials of ALGER HISS and had been a defender of his. He said that LOCKWOOD had never mentioned HISS in his presence and had never made any statements which could in any way be construed as disloyal. Informant concluded by stating that his association with Mr. LOCKWOOD had been confined to business only and added that because of the unresolved status of Mr. LOCKWOOD's involvement with ALGER HISS, he did not feel that he could recommend him for a position with the United States Government.

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by SA BERTRAM WORTHINGTON/map Date dictated 10/28/60

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Time Life, Inc.
Time Life Building
Avenue of the Americas
New York, New York

On October 24, 1960, Mrs. ELLEN WEST, Assistant Personnel Director, advised SA DANIEL J. MAHAR, Jr., that she could locate no record of employment for the applicant. She said that no personnel records are maintained for individuals who perform work for the magazine on a free-lance basis.

On November 1, 1960, Mr. RAYMOND MACKLAND, Editor, "Time" Magazine, advised SA MAHAR that he was in charge of the photographers assigned to Time Life, Inc. during the period of Mr. LOCKWOOD's reported employment. He stated that he could not recall Mr. LOCKWOOD by name. He said that he had had very many photographers under his supervision and that many of them had worked on a casual free-lance basis. He stated that he cannot, at this time, recall the names of any of the free-lance photographers and could suggest no one who might know the applicant.

Residence

200 East 66th Street
New York, New York

On October 25, 1960, Mr. JOSEPH WESTFIELD, Assistant Manager, advised that Mr. LOCKWOOD and his wife moved into Apartment A201 at this address in March, 1951, and continue to reside there. He advised that at the time he moved in, it was indicated that he was a student at Columbia University. He added that as tenants the LOCKWOODs have a very good

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reputation, meet their financial obligations on time and have never given any trouble. He said that the LOCKWOODS do not associate with any of the other tenants in the building and are frequently out of the country on extended trips. He said that there are no other apartments on the applicant's floor or underneath him and added that for this reason he could suggest no one in the building who would be acquainted with the LOCKWOODS. He said that in his own personal acquaintanceship with the LOCKWOODS, nothing has arisen which would cause him in any way to question their character or their loyalty to the United States.

On October 25, 1960, Mr. LOUIS BELGRIER, Desk Clerk, advised that he has been acquainted with the LOCKWOODS since they moved into this building in the early part of 1951. He stated that he has never seen the LOCKWOODS in the company of any of the other tenants and added that they are frequently out of the country on extended trips. He added that the LOCKWOODS have very few visitors and that the only constant visitor is Mrs. LOCKWOOD's mother, who resides in Texas. Mr. BELGRIER went on to state that over the years, ALGER HISS has visited in the LOCKWOOD apartment on several occasions, the last time he saw Mr. HISS visiting the LOCKWOODS being approximately one year ago. Mr. BELGRIER stated that nothing has come to his attention which would cause him to question the character or the loyalty of the LOCKWOODS. He added that inasmuch as his contact with him is in connection with his official duties only, he does not feel qualified to recommend or not recommend Mr. LOCKWOOD for a position with the United States Government. Mr. BELGRIER concluded by stating that he could suggest no one in the building who would know the LOCKWOODS.

20 East 74th Street
New York, New York

On October 20, 1960, Mr. CARL ERHARD, Superintendent, advised that he does not personally recall the LOCKWOODS. He stated, however, that the only record that he can find

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is an authorization for them to sublet their apartment, Apartment 3A, as of April 1, 1951.

On October 20, 1960, Mrs. PAULINE DE BALLA, Switchboard Operator, advised that the LOCKWOODs resided in Apartment 3A for approximately eight months after their marriage and before moving to 200 East 66th Street. She stated that Apartment 3A had been occupied by the applicant's wife, AGNESE NELMS, for several years prior to the marriage. She stated that the LOCKWOODs were very fine tenants and that nothing had occurred which would in any way cause her to question their character or their loyalty to the United States. Mrs. DE BELLA stated that the applicant and his wife kept very much to themselves and had very few visitors and that she had never seen them in the company of any other tenants in the building. Consequently, she could suggest no one who would know them.

On October 20, 1960, LUKE MC CUSKER, Elevator Operator, advised that Mrs. LOCKWOOD had been a tenant in Apartment 3A for some two to three years before she and Mr. LOCKWOOD married in early 1950. He said that after the marriage, the LOCKWOODs remained in the building for some six to eight months before moving to 200 East 66th Street. Mr. MC CUSKER stated that he had had no reason to question the character or the loyalty of either Mr. or Mrs. LOCKWOOD. He stated that he had heard from an unrecalled source that ALGER HISS used to visit the LOCKWOODs and that Mr. LOCKWOOD was acting as his attorney. He stated, however, that he had never observed ALGER HISS in their company and, in fact, had never observed the LOCKWOODs in the company of any of the tenants in the building. He said that they had kept very much to themselves and had had very few visitors.

860 Park Avenue
New York, New York

On October 26, 1960, Mr. FRANK JURGELEIT, Superintendent, advised that he has held this position for the past 11 years and that the applicant's mother and father own the fifteenth

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floor and the penthouse at this address. He said that the LOCKWOODs also have a summer home in Norfolk, Connecticut. Mr. JURGELEIT said that the applicant's father was formerly a Vice-President of the New York Trust Company but has been retired for several years. He stated that prior to his marriage in 1950, the applicant had resided with his parents and occupied the penthouse. He stated that both Mr. and Mrs. LOCKWOOD have visited the applicant's parents on very numerous occasions over the years. He said that the applicant is an only child and that his father and mother both speak most highly of him. He said that he himself has spoken to the applicant on numerous occasions and has been very favorably impressed by him. He stated that in his conversations with young Mr. LOCKWOOD, nothing has occurred which would in any way cause him to question his character or his loyalty to the United States. Concerning the parents, Mr. JURGELEIT said that they are among the finest persons he has ever met and are extremely kind, considerate and generous with the building help. He said that the senior LOCKWOODs do virtually no entertaining, both being quite old, and have very few visitors. To the best of his knowledge he said they do not associate with any of the other persons in the building.

On October 28, 1960, Dr. DANIEL GATLIN, M. D., 655 Park Avenue, New York, New York, advised that he is president of the board of the cooperative apartment house at 860 Park Avenue and has himself resided in the house for over 20 years. He stated that the elder LOCKWOODs purchased the top floor and penthouse in that building in approximately 1947 and have continued to reside there. He stated that his contact with the LOCKWOODs is chiefly in connection with building business. He added that the elder LOCKWOODs keep very much to themselves and very seldom entertain, but in his very slight social contact with them he has found them to be persons of outstandingly fine character and loyal citizens. He said that prior to his marriage in early 1950, young "Chip" LOCKWOOD resided in the penthouse suite and did some photography work. He said that at that time he was also attending Columbia University as a graduate student. Dr. GATLIN stated that due to the great age disparity between himself and the applicant, he has not had any social contact with him and does not feel qualified to make any statement concerning his character or his loyalty to the United States. He went on to state that

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at the time of ALGER HISS' trial in New York City, he had seen the name of the applicant in the newspapers as a reported friend of ALGER HISS. He stated, however, that in his conversations with the family, the HISS matter had never been mentioned and that he knew no details of the association between the applicant and ALGER HISS. Dr. GATLIN concluded by stating that he could suggest no one in the building with whom the LOCKWOODS are friendly and that subsequently he could suggest no one else who would know them.

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References and Associates

On October 28, 1960, Mrs. HELEN L. BUTTENWEISER, Attorney, 535 Fifth Avenue, New York, New York, advised that for the past several months she has been the attorney of the applicant and that prior to that time her deceased partner had been his attorney. She stated that she has known Mr. LOCKWOOD for some 10 to 11 years on a fairly close social basis, inasmuch as she has been his wife's attorney during this period. She stated that Mrs. LOCKWOOD has been associated for many years with the Carnegie Endowment for International Peace and is now doing some work for the United Nations at the Endowment. She stated that over the years nothing has occurred which would in any way cause her to question the character or the loyalty of either the applicant or his wife, and she recommended him most highly for a position with the United Nations. To the best of her knowledge Mr. LOCKWOOD has been unemployed since retiring from Laurence Mr. Marks Company in the middle of 1959. She said that during eight months of this period he was on an around the world tour.

It is noted that the husband of HELEN LEHMAN BUTTENWEISER, BENJAMIN JOSEPH BUTTENWEISER, was investigated by the Federal Bureau of Investigation in early 1951 under the provisions of Executive Order 9835, the results of that investigation being set out in the case entitled, "BENJAMIN JOSEPH BUTTENWEISER, Assistant High Commissioner for Germany, United States Department of State, Berlin, Germany; LOYALTY OF GOVERNMENT EMPLOYEES". On April 18, 1952, the United States Civil Service Commission advised that Mr. BUTTENWEISER "resigned or otherwise separated from Federal service prior to decision on loyalty". Pertinent information concerning HELEN BUTTENWEISER is set out in the above mentioned report.

On October 26, 1960, Mr. ADRIAN MASSIE, Chairman, Trust Committee, Chemical Bank New York Trust Company, 100 Broadway, New York, New York, advised that he has been acquainted with the LOCKWOOD family for some 30 or more years,

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having been a coexecutive of the applicant's father during that period. Mr. MASSIE stated that young LOCKWOOD is a mathematical and musical genius. He said that the applicant's father worships him and had been very "broken up" when the applicant became involved with the ALGER HISS defense. He said that he learned through conversations with the applicant's father that the applicant had become involved with HISS through his wife, who was a co-worker of HISS at the Carnegie Endowment. He stated that he himself has never met the young Mrs. LOCKWOOD. He advised that while he had been somewhat shocked when he learned of Mr. LOCKWOOD's efforts on behalf of ALGER HISS, he had not been greatly surprised because both the elder and junior LOCKWOODs have always exhibited a great social consciousness and have always been for "the underdog" and the underprivileged.

Mr. MASSIE went on to state that although he has known "Chip" LOCKWOOD for very many years and has seen him grow up, he has had no real contact with him due to the great age disparity. He said that prior to working for Laurence Marks Company, the applicant was a graduate mathematics student at Columbia University, where he had been considered brilliant. He said that since leaving the Marks Company, he believes the applicant has been unemployed, and has taken an around the world trip. He said that he himself had had an inquiry from ROBERT GARNER of the International Finance Corporation of the World Bank, Washington, D. C., concerning a recommendation of young LOCKWOOD with the International Finance Corporation. He said, however, that he believes that Mr. GARNER was acquainted with the fact that the applicant had been involved in the defense of ALGER HISS and felt that he had not employed him with the International Finance Corporation. Mr. MASSIE concluded by stating that he could say nothing but the finest concerning the elder LOCKWOODs and that based on his knowledge of the parents and the little he knows about the applicant, he would feel safe in recommending him for a position of interest to the United States, having of his own knowledge no reason to question his character or his loyalty.

Date 11/3/60

Mr. CHARLES EDDY, Vice-President in charge of the Trust Investment Department, Chemical Bank New York Trust Company, 100 Broadway, New York, New York, advised that he has known young LOCKWOOD for approximately 12 years. He said that at the time he met him, Mr. LOCKWOOD was attending Columbia University as a graduate mathematics student and that he introduced him to the Laurence Marks Company. He stated that for very many years he has handled the elder LOCKWOOD's investments. He said that he was quite well acquainted with LOCKWOOD while he was employed at Laurence Marks and had occasional business lunches and dinners with him. He stated that while at the Marks Company, Mr. LOCKWOOD had been a very fine salesman and analyst, but towards the end of his period with that firm, his reports had been fabrications and that LOCKWOOD had dreamed up the facts upon which the reports were based without actually contacting the particular firms to obtain the facts.

He said that in his opinion, Mr. LOCKWOOD is a social drinker, but that he drinks quite heavily. He said that his only knowledge of LOCKWOOD's involvement with ALGER HISS is what has appeared in the public press. He said, however, that from his conversations with LOCKWOOD, he would consider him "ideologically suspect", though he could furnish no specific instance leading to this description. He said that his feeling is probably the result of a general impression over the years and has no basis in any one particular remark or action. Mr. EDDY said that while he himself has had no specific reason to question LOCKWOOD's loyalty to the United States, he would be greatly hesitant in recommending him for a position with the United Nations, due to the unknown causes and extent of LOCKWOOD's relationship with ALGER HISS.

On 10/26/60 at 100 Broadway, New York, NY File # 138-3449

by SA BERTRAM WORTHINGTON/map Date dictated 10/28/60

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On November 1, 1960, Mr. J. FEARON BROWN, Attorney, 460 Park Avenue, New York, New York, advised that he has been a close social acquaintance of the LOCKWOOD family since approximately 1930. He said that both families had owned neighboring summer homes in Rhode Island and that his own daughter and the applicant had grown up together. He stated that as a matter of fact, his daughter, SHARON, and AGNESE CARTER NELMS, the applicant's wife, were roommates in their junior and senior years at Bryn Mawr College. He said that his daughter had introduced Miss NELMS to the applicant. Mr. BROWN said that the applicant is generally considered to be somewhat of a genius, having a great knowledge of science, music and archeology. He said that the applicant has a very engaging personality and is an excellent conversationalist. He said that he admires "Chip" LOCKWOOD greatly and has visited in his home on East 66th Street on several occasions. He said that he considers the applicant and his wife to be persons of the finest character and to be completely devoted to the United States.

Miscellaneous

On October 19 and 24, 1960, representatives of the Bureau of Special Services and of the Bureau of Criminal Identification, both of the New York City Police Department, advised SA DAVID G. JENKINS that the files of their respective bureaus contain no record for the applicant, his wife or his parents.

On October 20, 1960, PAULINE DE FIORE, Clerk, Credit Bureau of Greater New York, advised IC ALFRED G. ENNULAT that the applicant and his wife enjoy a very fine credit record and that the file contains no information of an unfavorable nature concerning their character or their loyalty to the United States and no record of litigation. Miss DE FIORE said that this report dated December 30, 1955, reflects that prior to moving to 200 East 66th Street in March of 1951, the applicant resided with his parents at 860 Park Avenue and prior to 1947 and from 1925, he had resided with his parents at 36 East 81st Street, New York, New York, a one family dwelling owned by the parents.

A review of the records of the Manhattan, New York Board of Elections by IC JESSE C. HOUSE, Jr. on October 21, 1960, reflects that on each occasion on which they have registered from the address 200 East 66th Street between

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the years 1952 and 1959, MANICE and AGNES LOCKWOOD have indicated a preference for one of the two major political parties, with the exception of the year 1956, when AGNES indicated a preference for the Liberal Party. From the address 20 East 74th Street, both indicated a preference for a major political party in 1950 and AGNES failed to indicate any preference from that address in 1948. From the address 860 Park Avenue, on each occasion on which they registered between 1947 and 1950, MANICE, MANICE III and MABEL LOCKWOOD indicated a preference for one of the two major political parties. From the address 36 East 81st Street, on each occasion on which they registered between the years 1943 and 1945, MABEL, MANICE, Jr. and MANICE III LOCKWOOD indicated a preference for one of the two major political parties.

The files of the "New York Herald Tribune" Information Bureau, 130 West 41st Street, New York, New York, reviewed on October 23, 1960 and of the "New York Times" Information Bureau, 229 West 43rd Street, reviewed on October 23, 1960, contained no additional pertinent information.

The May, 1956 edition of "International Conciliation" was reviewed at the New York Public Library on October 26, 1960 and reflected that this book is published five times a year by the Carnegie Endowment for International Peace. AGNESE N. LOCKWOOD was listed as Assistant Editor and this edition contained an article captioned "Indians of the Andes", written by Mrs. LOCKWOOD.

The May, 1958 edition contains an article entitled "The Burma Road to Pyreawtha", by AGNESE N. LOCKWOOD and reflects that the author and her husband, MANICE LOCKWOOD, had gathered the information in the article on a trip through Burma. In this issue AGNESE N. LOCKWOOD was again listed as Assistant Editor.

It is noted that ALGER HISS, mentioned above, was made President of the Carnegie Endowment for International Peace in 1947.

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Confidential informants who are acquainted with some phases of communist activity in the Greater New York area advised in October, 1960, that the applicant, his wife and his parents are unknown to them and that they could furnish no information concerning them.



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
New York, New York
November 9, 1960

In Reply, Please Refer to

File No. New York 138-3449

Title MANICE de FOREST LOCKWOOD
 United Nations

Character LOYALTY OF EMPLOYEES OF THE
 UNITED NATIONS AND OTHER PUBLIC
Reference INTERNATIONAL ORGANIZATIONS

Report of Special Agent Bertram
Worthington, dated and captioned as above, at New York.

All sources (except any listed below) used in referenced
communication have furnished reliable information in the past.

Insufficient contact has been had with New York T-1
and New York T-2 to determine the reliability of information
furnished by them.

3 cc CSC

1 cc Department



UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

*In Reply, Please Refer to
File No.*

Title: MANICE de FOREST LOCKWOOD
aka "Chip", Manice de Forest Lockwood, III
Applicant
United Nations
New York, New York
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS

Report of: SA Bertram Worthington

Date and Place: November 9, 1960
New York, New York

This is amended copy of UFTUN report and should not be removed from file for dissemination purposes. If dissemination necessary, copies should be made of this copy. - 1 -

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BASIS FOR INVESTIGATION

This investigation was conducted at the specific request of the United States Civil Service Commission (CSC), Washington, D. C., under the provisions of Executive Order 10422, based upon information appearing on page one of the "New York Herald Tribune" issue of January 26, 1950, to the effect that MANICE de FOREST LOCKWOOD, III posted security for ALGER HISS' \$10,000 bail bond and indicated that LOCKWOOD was a friend of ALGER HISS.

ALGER HISS, a former employee of the United States State Department, was indicted on December 15, 1948, by a Special Grand Jury, United States District Court, Southern District of New York, on two counts of perjury arising out of his testimony that he had not turned over State Department documents to WHITTAKER CHAMBERS, a self-confessed Communist Party member and espionage agent, and had had no contact with CHAMBERS subsequent to January 1, 1937. ALGER HISS was convicted on both counts on January 21, 1950 and was sentenced to five years imprisonment on January 25, 1950.

RESULTS OF INVESTIGATION

On March 3, 1950, Mr. WILLIAM MARSHALL BULLITT, Bullitt, Dawson and Tarrant, Kentucky Home Life Building, Louisville, Kentucky, a trustee of the Carnegie Endowment for International Peace, advised by letter that Miss AGNESE CARTER HELMS, an employee of the Carnegie Endowment, married MANICE DE FOREST LOCKWOOD, Jr., on January 2, 1950, at Houston, Texas. He stated that Mr. LOCKWOOD had furnished the \$10,000 for ALGER HISS' bond pending his appeal. He stated that Mrs. LOCKWOOD and Miss ANNE WINSLOW, another Carnegie Endowment

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employee, in some way were close to, and very fond of, Mr. HISS or Mrs. HISS or both, and that Mr. LOCKWOOD had furnished the bond money at their request.

On October 17, 1960, Mr. THOMAS M. BULLITT, Attorney, Kentucky Home Life Building, Louisville, Kentucky, advised SAS FRANK L. GRUBBS and GEORGE W. HUTCHINSON that his father, WILLIAM MARSHALL BULLITT, died in October, 1957. He advised that his father had been a trustee of the Carnegie Endowment for many years and because ALGER HISS had been President of that organization, had been very interested in the HISS case. He further advised that the information his father had furnished the Federal Bureau of Investigation may have been obtained by him in connection with the HISS trial or in connection with his association with the Carnegie Endowment. Mr. THOMAS BULLITT stated that he himself had no personal knowledge of the situation, and that he was sure that there had been no personal association between his father and any of the individuals involved.

The following is noted concerning Mrs. ALGER HISS (PRISCILLA FANSLER HISS):

WHITTAKER CHAMBERS, self-confessed former Soviet agent, has advised that sometime in the latter part of 1938, at a time some months after he himself had broken with the Communist Party, he made a special trip to Washington, D. C., for the purpose of talking ALGER HISS into breaking with the Communist Party. CHAMBERS stated that with tears streaming down his face, HISS refused to break with the Communists, and gave as his reason for not breaking with them, his "loyalty" to his friends and principles. CHAMBERS stated that in his opinion, the strongest reason for HISS maintaining his status with the Party was the fanatical loyalty for the Communist Party on the part of PRISCILLA HISS, his wife.

The Communist Party has been designated by the Attorney General of the United States pursuant to Executive Order 10450.

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Education

Columbia University
116th Street and Broadway
New York, New York

On October 28, 1960, Miss HELEN KNOLL, Clerk, Registrar's Office, advised SA JOHN A. ERWIN from records that MANICE de FOREST LOCKWOOD, III, born November 10, 1922, at New York, New York, and residing at 860 Park Avenue, New York, New York, was admitted to the graduate faculties as a mathematics major in September, 1948. He attended the academic year, 1948 - 1949, the summer session of 1949 and the 1949 - 1950 academic year. She advised that Mr. LOCKWOOD received no degree. She stated that the records reflected that he received an Arts Bachelor degree from Yale University in 1943 and had attended Brooklyn Polytechnic Institute in 1947 and 1948. She stated that his file contained no information of an unfavorable nature concerning his character or his loyalty to the United States.

On November 1, 1960, Miss BARBARA SEIF, Secretary, Graduate Mathematics Department, advised SA ERWIN that the only person she could suggest who might recall Mr. LOCKWOOD is Professor SAMUEL EILENBERG.

On November 1, 1960, Dr. SAMUEL EILENBERG advised SA ERWIN that he has no recollection of the applicant and stated that he was unable to suggest anyone in the Mathematics Department who would recall him.

The Polytechnic Institute of Brooklyn
333 Jay Street
Brooklyn, New York

On October 24, 1960, Mrs. PAULINE HANNUS, Clerk, Registrar's Office, advised SA JOHN A. BEHRINGER from

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records that MANICE de FOREST LOCKWOOD, born November 10, 1922, at New York, New York, attended this college from September, 1947 until January, 1948, and from February, 1948 to June, 1948 in the evening session. She stated that his records reflect that he attended Yale University from 1937 to 1940, receiving an Arts Bachelor degree and in the summer of 1946 had attended the University of Arizona. His home address was listed as 860 Park Avenue, New York, New York, and his parents as MANICE and MABEL. Mrs. HANNUS stated that the file contained no information of an unfavorable nature concerning the character or the loyalty of the applicant. Mrs. HANNUS concluded by stating that due to the brevity of his attendance, the virtually complete turnover in the faculty of this school in the past 12 years and the large student enrollment, she was unable to suggest anyone who would recall the applicant.

Employment

Laurence M. Marks, Inc.
48 Wall Street
New York, New York

On October 25, 1960, Mr. RONALD BRALEY, Personnel Manager, Dean Witter and Company, 2 Broadway, New York, New York, advised that this firm and captioned firm merged in June, 1959 and that inasmuch as Mr. LOCKWOOD was a partner in the Marks firm, no personnel record was maintained. He stated that he was not personally acquainted with him but stated that several of the former Marks employees are now with this firm.

On October 25, 1960, Mr. ROBERT POWERS, formerly Chief Cashier in the Marks Company, advised that he had been acquainted with the applicant on a business basis only during his entire period of employment with that company, the period which he placed at 1951 to the middle of 1959, at which time Mr. LOCKWOOD retired. Mr. POWERS stated that

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he had had no reason whatsoever to question the character or the loyalty of Mr. LOCKWOOD and that he would recommend him for a position with the United States Government. He recalled that Mr. LOCKWOOD started in the Marks firm as a Security Analyst and was made a full partner in approximately January, 1956. He stated that he was not aware of what the applicant has been doing since his retirement but understands that he has devoted his time to travel.

On October 25, 1960, Mr. RICHARD MARSHALL, Falkner, Dawkins and Sullivan, 51 Broad Street, New York, New York, advised that after the death of LAURENCE MARKS, the company split and the older members of the firm went with Dean Witter and Company and the younger members formed their own company, of which he is now a member. He stated that he himself was formerly a partner in Laurence Marks and was made a partner at the same time as Mr. LOCKWOOD, in January, 1956. He stated that his only contact with Mr. LOCKWOOD was during business hours, except for one or two visits in the LOCKWOOD home on East 66th Street. He only met Mrs. LOCKWOOD on one occasion but knows that she was employed by the Carnegie Endowment for International Peace.

Mr. MARSHALL stated that of his own knowledge he had no reason to question the character or the loyalty of Mr. LOCKWOOD. He stated that nothing had arisen in any conversations with the applicant which would cause him to question his loyalty. He stated that at the time of the trials of ALGER HISS, information had appeared in the newspapers reflecting that the applicant had posted security for ALGER HISS' bail bond and he stated that he had been informed by a waitress at Massolette's Restaurant on Pine Street that at the time of the HISS trials, the applicant and HISS had eaten lunch there on several occasions. Mr. MARSHALL said that Mr. LOCKWOOD had never mentioned Mr. HISS or his association with HISS in his presence and that he could furnish no information as to the degree of association or the circumstances of their friendship. He concluded by stating that he would recommend Mr. LOCKWOOD for a position with the United States Government.

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On October 25, 1960, Mr. RICHARD DAWKINS, partner, Falkner, Dawkins and Sullivan, advised that he had been a very close friend of the applicant while both were with the Laurence Marks firm. He stated that his acquaintanceship was both on a business and a social basis. Mr. DAWKINS stated that it was common knowledge in the Marks firm that the applicant had been involved in the ALGER HISS defense but he stated that no mention of this had ever been made in any personal conversations he had with the applicant. He stated that from time to time over the years, Mr. LOCKWOOD had collaborated with his wife in her work at the Carnegie Endowment. He stated that it is his understanding that since his retirement, the applicant has been doing some work for the International Bank in Washington, D. C. Mr. DAWKINS stated that his association with the applicant has not been close in the past several years. He stated that in the field of securities, he is brilliant and has a great deal of ability. He said that he had no reason whatsoever to question his character or loyalty to the United States and that he would recommend him for a position of interest to the United States Government.

On October 25, 1960, Mr. ROBERT COLIN, Analyst, Falkner, Dawkins and Sullivan, advised that he had had a fairly close business and social acquaintanceship with MANICE LOCKWOOD from May, 1955 until Mr. LOCKWOOD retired from the Marks firm in May, 1959. He said that he still sees him occasionally on a social basis. Mr. COLIN went on to state that in conversations with Mr. LOCKWOOD, he understands that since his retirement, he had been working for the World Bank but that his contract with the World Bank has been completed. He stated that he believes he has also done some studies for the United Nations with his wife, Mr. LOCKWOOD doing photographic work for articles being prepared by his wife.

Mr. COLIN went on to state that he would definitely consider the applicant to be a "controversial character". He stated that he could not elaborate on this description.

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He said that in all his personal contacts with both Mr. and Mrs. LOCKWOOD neither ever displayed any pro-communist leanings but that on the contrary all of their comments were definitely anti-communist. He stated that it was common knowledge on Wall Street that the applicant had been involved in the defense of ALGER HISS. He stated that he has a vague recollection that either Mr. or Mrs. LOCKWOOD had been at school with ALGER HISS. He stated that the matter of ALGER HISS had never arisen in any of his discussions with the LOCKWOODs. Mr. COLIN concluded by stating that although he has had no reason to question the character or the loyalty of Mr. LOCKWOOD, he feels that because of his own lack of knowledge of the extent of the involvement of the LOCKWOODs with ALGER HISS, he would hesitate to recommend him for a position of interest to the United States.

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The following information was obtained from a former close business associate of MANICE de FOREST LOCKWOOD, New York T-1:

Informant advised that he was formerly [redacted] in the Marks Company and had been acquainted with "Chip" LOCKWOOD during his entire period of association with that firm. He stated that while with the Marks Company, LOCKWOOD had been an Electronics Specialist and had handled the analysis and sales for such accounts as International Business Machines and North American Aviation. He stated that Mr. LOCKWOOD is a brilliant mathematician and had been a very fine analyst. b7D

Informant went on to state that his association with LOCKWOOD had been chiefly on a business basis and added that he had met Mrs. LOCKWOOD on only two or three occasions. He understands that she was employed at the Carnegie Endowment for International Peace and had written several books for the Endowment. He went on to state that he has had no reason of his own personal knowledge to question the loyalty of Mr. LOCKWOOD. He stated that Mr. LOCKWOOD definitely indulges too heavily in the use of liquor and added that he considers him to be a psychopathic liar. Informant advised that in business matters Mr. LOCKWOOD had made several statements upon which major business decisions had been made and which were later found to be untrue and had cost the company a considerable amount of money.

He went on to state that on one occasion in his presence, Mr. LOCKWOOD had brought up the matter of ALGER HISS and at that time claimed to be a friend of his. He said that had it been known at the time of his employment that LOCKWOOD had been involved in the HISS defense he undoubtedly would not have been employed by the Marks firm. He said that in conversations with LOCKWOOD he had learned that Mrs. LOCKWOOD had also been friendly with HISS and had been active in his defense. He said that at one time LOCKWOOD had made the statement that he had been disowned by his father because of his activities on behalf of ALGER HISS.

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Informant went on to say that as far as his personal habits were concerned, he considered Mr. LOCKWOOD to be a sloppy individual with no idea of personal cleanliness. He said that oftentimes LOCKWOOD's business practices while with the Marks Company had been questionable and that he knows that LOCKWOOD is persona-non-grata in several major business firms in London, England. He stated that his work as an analyst was not reliable. He amplified this latter statement by stating that when Mr. LOCKWOOD came to the Marks firm as an analyst, he exhibited a brilliance in mathematics and analysis and his reports were excellent. He said that it later developed that on several occasions LOCKWOOD had not actually contacted the firms concerning whom he wrote reports, oftentimes there being a matter of years between contacts, and had merely written reports on a guesswork basis. He said that in the final analysis, he considers "Chip" LOCKWOOD to be very immature. He feels that Mr. LOCKWOOD may be seeking a position with the United States in order to obtain free travel to look after his outside business interests overseas and that he believes because of his business interests overseas, there may be a conflict with his official duties. He believes that Mr. LOCKWOOD had a financial interest in a steel mill in India and in another concern in Italy.

Informant stated that as an instance of immaturity on the part of Mr. LOCKWOOD, he recalls that he always carried a switchblade knife. He concluded by stating that he wanted to emphasize the fact that he has had no reason to ever question the loyalty of Mr. LOCKWOOD to the United States but stated that because of the unknown relationship with ALGER HISS and his reasons for defending HISS, he does not believe that he could recommend LOCKWOOD for a position of interest to the United States.

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The following information was obtained from a former close business associate of MANICE de FOREST LOCKWOOD, New York T-who advised that he had been acquainted with him during his entire period with the Marks firm:

Informant said that LOCKWOOD had joined the firm as an analyst and had done a very brilliant job. He was generally considered to be a mathematical and electronic genius, and based upon his fine work in January, 1956, had been made a full partner in the firm. He said that it had been felt that Mr. LOCKWOOD did not devote sufficient of his time to business, oftentimes accompanying his wife on her trips on behalf of the Carnegie Endowment for International Peace and being away for several months at a time. He also advised that it later developed that on several occasions the stock analysis made by LOCKWOOD had been poor figments of imagination and on at least one occasion these false analyses had resulted in a considerable amount of loss to the firm. He said that in his own opinion, Mr. LOCKWOOD's business practices had sometimes been on the "shady side" while working for the Marks Company.

Informant considers LOCKWOOD to be very immature and to drink too heavily. He said that he, of his own knowledge, has never had the slightest reason to question Mr. LOCKWOOD's loyalty to the United States or the principles of democracy. He said, however, that it had been well known along Wall Street, both from the newspapers and through rumor, that LOCKWOOD had been deeply involved in the court trials of ALGER HISS and had been a defender of his. He said that LOCKWOOD had never mentioned HISS in his presence and had never made any statements which could in any way be construed as disloyal. Informant concluded by stating that his association with Mr. LOCKWOOD had been confined to business only and added that because of the unresolved status of Mr. LOCKWOOD's involvement with ALGER HISS, he did not feel that he could recommend him for a position with the United States Government.

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Time Life, Inc.
Time Life Building
Avenue of the Americas
New York, New York

On October 24, 1960, Mrs. ELLEN WEST, Assistant Personnel Director, advised SA DANIEL J. MAHAR, Jr., that she could locate no record of employment for the applicant. She said that no personnel records are maintained for individuals who perform work for the magazine on a free-lance basis.

On November 1, 1960, Mr. RAYMOND MACKLAND, Editor, "Time" Magazine, advised SA MAHAR that he was in charge of the photographers assigned to Time Life, Inc. during the period of Mr. LOCKWOOD's reported employment. He stated that he could not recall Mr. LOCKWOOD by name. He said that he had had very many photographers under his supervision and that many of them had worked on a casual free-lance basis. He stated that he cannot, at this time, recall the names of any of the free-lance photographers and could suggest no one who might know the applicant.

Residence

200 East 66th Street
New York, New York

On October 25, 1960, Mr. JOSEPH WESTFIELD, Assistant Manager, advised that Mr. LOCKWOOD and his wife moved into Apartment A201 at this address in March, 1951, and continue to reside there. He advised that at the time he moved in, it was indicated that he was a student at Columbia University. He added that as tenants the LOCKWOODS have a very good

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reputation, meet their financial obligations on time and have never given any trouble. He said that the LOCKWOODs do not associate with any of the other tenants in the building and are frequently out of the country on extended trips. He said that there are no other apartments on the applicant's floor or underneath him and added that for this reason he could suggest no one in the building who would be acquainted with the LOCKWOODs. He said that in his own personal acquaintanceship with the LOCKWOODs, nothing has arisen which would cause him in any way to question their character or their loyalty to the United States.

On October 25, 1960, Mr. LOUIS BELGRIER, Desk Clerk, advised that he has been acquainted with the LOCKWOODs since they moved into this building in the early part of 1951. He stated that he has never seen the LOCKWOODs in the company of any of the other tenants and added that they are frequently out of the country on extended trips. He added that the LOCKWOODs have very few visitors and that the only constant visitor is Mrs. LOCKWOOD's mother, who resides in Texas. Mr. BELGRIER went on to state that over the years, ALGER HISS has visited in the LOCKWOOD apartment on several occasions, the last time he saw Mr. HISS visiting the LOCKWOODs being approximately one year ago. Mr. BELGRIER stated that nothing has come to his attention which would cause him to question the character or the loyalty of the LOCKWOODs. He added that inasmuch as his contact with him is in connection with his official duties only, he does not feel qualified to recommend or not recommend Mr. LOCKWOOD for a position with the United States Government. Mr. BELGRIER concluded by stating that he could suggest no one in the building who would know the LOCKWOODs.

20 East 74th Street
New York, New York

On October 20, 1960, Mr. CARL ERHARD, Superintendent, advised that he does not personally recall the LOCKWOODs. He stated, however, that the only record that he can find

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is an authorization for them to sublet their apartment, Apartment 3A, as of April 1, 1951.

On October 20, 1960, Mrs. PAULINE DE BELLA, Switchboard Operator, advised that the LOCKWOODs resided in Apartment 3A for approximately eight months after their marriage and before moving to 200 East 66th Street. She stated that Apartment 3A had been occupied by the applicant's wife, AGNESE NELMS, for several years prior to the marriage. She stated that the LOCKWOODs were very fine tenants and that nothing had occurred which would in any way cause her to question their character or their loyalty to the United States. Mrs. DE BELLA stated that the applicant and his wife kept very much to themselves and had very few visitors and that she had never seen them in the company of any other tenants in the building. Consequently, she could suggest no one who would know them.

On October 20, 1960, LUKE MC CUSKER, Elevator Operator, advised that Mrs. LOCKWOOD had been a tenant in Apartment 3A for some two to three years before she and Mr. LOCKWOOD married in early 1950. He said that after the marriage, the LOCKWOODs remained in the building for some six to eight months before moving to 200 East 66th Street. Mr. MC CUSKER stated that he had had no reason to question the character or the loyalty of either Mr. or Mrs. LOCKWOOD. He stated that he had heard from an unrecalled source that ALGER HISS used to visit the LOCKWOODs and that Mr. LOCKWOOD was acting as his attorney. He stated, however, that he had never observed ALGER HISS in their company and, in fact, had never observed the LOCKWOODs in the company of any of the tenants in the building. He said that they had kept very much to themselves and had had very few visitors.

860 Park Avenue
New York, New York

On October 26, 1960, Mr. FRANK JURCELEIT, Superintendent, advised that he has held this position for the past 11 years and that the applicant's mother and father own the fifteenth

floor and the penthouse at this address. He said that the LOCKWOODs also have a summer home in Norfolk, Connecticut. Mr. JURCKLETT said that the applicant's father was formerly a Vice-President of the New York Trust Company but has been retired for several years. He stated that prior to his marriage in 1950, the applicant had resided with his parents and occupied the penthouse. He stated that both Mr. and Mrs. LOCKWOOD have visited the applicant's parents on very numerous occasions over the years. He said that the applicant is an only child and that his father and mother both speak most highly of him. He said that he himself has spoken to the applicant on numerous occasions and has been very favorably impressed by him. He stated that in his conversations with young Mr. LOCKWOOD, nothing has occurred which would in any way cause him to question his character or his loyalty to the United States. Concerning the parents, Mr. JURCKLETT said that they are among the finest persons he has ever met and are extremely kind, considerate and generous with the building help. He said that the senior LOCKWOODs do virtually no entertaining, both being quite old, and have very few visitors. To the best of his knowledge he said they do not associate with any of the other persons in the building.

On October 28, 1960, Dr. DANIEL GATLIN, M. D., 655 Park Avenue, New York, New York, advised that he is president of the board of the cooperative apartment house at 860 Park Avenue and has himself resided in the house for over 20 years. He stated that the elder LOCKWOODs purchased the top floor and penthouse in that building in approximately 1947 and have continued to reside there. He stated that his contact with the LOCKWOODs is chiefly in connection with building business. He added that the elder LOCKWOODs keep very much to themselves and very seldom entertain, but in his very slight social contact with them he has found them to be persons of outstandingly fine character and loyal citizens. He said that prior to his marriage in early 1950, young "Chip" LOCKWOOD resided in the penthouse suite and did some photography work. He said that at that time he was also attending Columbia University as a graduate student. Dr. GATLIN stated that due to the great age disparity between himself and the applicant, he has not had any social contact with him and does not feel qualified to make any statement concerning his character or his loyalty to the United States. He went on to state that

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at the time of ALGER HISS' trial in New York City, he had seen the name of the applicant in the newspapers as a reported friend of ALGER HISS. He stated, however, that in his conversations with the family, the HISS matter had never been mentioned and that he knew no details of the association between the applicant and ALGER HISS. Dr. GATLIN concluded by stating that he could suggest no one in the building with whom the LOCKWOODS are friendly and that subsequently he could suggest no one else who would know them.

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References and Associates

On October 28, 1960, Mrs. HELEN L. BUTTENWEISER, Attorney, 535 Fifth Avenue, New York, New York, advised that for the past several months she has been the attorney of the applicant and that prior to that time her deceased partner had been his attorney. She stated that she has known Mr. LOCKWOOD for some 10 to 11 years on a fairly close social basis, inasmuch as she has been his wife's attorney during this period. She stated that Mrs. LOCKWOOD has been associated for many years with the Carnegie Endowment for International Peace and is now doing some work for the United Nations at the Endowment. She stated that over the years nothing has occurred which would in any way cause her to question the character or the loyalty of either the applicant or his wife, and she recommended him most highly for a position with the United Nations. To the best of her knowledge Mr. LOCKWOOD has been unemployed since retiring from Laurence M. Marks Company in the middle of 1959. She said that during eight months of this period he was on an around the world tour.

It is noted that the husband of HELEN LEHMAN BUTTENWEISER, BENJAMIN JOSEPH BUTTENWEISER, was investigated by the Federal Bureau of Investigation in early 1951 under the provisions of Executive Order 9835, the results of that investigation being set out in the case entitled, "BENJAMIN JOSEPH BUTTENWEISER, Assistant High Commissioner for Germany, United States Department of State, Berlin, Germany; LOYALTY OF GOVERNMENT EMPLOYEES". On April 18, 1952, the United States Civil Service Commission advised that Mr. BUTTENWEISER "resigned or otherwise separated from Federal service prior to decision on loyalty". Pertinent information concerning HELEN BUTTENWEISER is set out in the above mentioned report.

On October 26, 1960, Mr. ADRIAN MASSIE, Chairman, Trust Committee, Chemical Bank New York Trust Company, 100 Broadway, New York, New York, advised that he has been acquainted with the LOCKWOOD family for some 30 or more years,

having been a coexecutive of the applicant's father during that period. Mr. MASSIE stated that young LOCKWOOD is a mathematical and musical genius. He said that the applicant's father worships him and had been very "broken up" when the applicant became involved with the ALGER HISS defense. He said that he learned through conversations with the applicant's father that the applicant had become involved with HISS through his wife, who was a co-worker of HISS at the Carnegie Endowment. He stated that he himself has never met the young Mrs. LOCKWOOD. He advised that while he had been somewhat shocked when he learned of Mr. LOCKWOOD's efforts on behalf of ALGER HISS, he had not been greatly surprised because both the elder and junior LOCKWOODs have always exhibited a great social consciousness and have always been for "the underdog" and the underprivileged.

Mr. MASSIE went on to state that although he has known "Chip" LOCKWOOD for very many years and has seen him grow up, he has had no real contact with him due to the great age disparity. He said that prior to working for Laurence Marks Company, the applicant was a graduate mathematics student at Columbia University, where he had been considered brilliant. He said that since leaving the Marks Company, he believes the applicant has been unemployed and has taken an around the world trip. He said that he himself had had an inquiry from ROBERT GARNER of the International Finance Corporation of the World Bank, Washington, D. C., concerning a recommendation of young LOCKWOOD with the International Finance Corporation. He said, however, that he believes that Mr. GARNER was acquainted with the fact that the applicant had been involved in the defense of ALGER HISS and felt that he had not employed him with the International Finance Corporation. Mr. MASSIE concluded by stating that he could say nothing but the finest concerning the elder LOCKWOODs and that based on his knowledge of the parents and the little he knows about the applicant, he would feel safe in recommending him for a position of interest to the United States, having of his own knowledge no reason to question his character or his loyalty.

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Mr. CHARLES EDDY, Vice-President in charge of the Trust Investment Department, Chemical Bank New York Trust Company, 100 Broadway, New York, New York, advised that he has known young LOCKWOOD for approximately 12 years. He said that at the time he met him, Mr. LOCKWOOD was attending Columbia University as a graduate mathematics student and that he introduced him to the Laurence Marks Company. He stated that for very many years he has handled the elder LOCKWOOD's investments. He said that he was quite well acquainted with LOCKWOOD while he was employed at Laurence Marks and had occasional business lunches and dinners with him. He stated that while at the Marks Company, Mr. LOCKWOOD had been a very fine salesman and analyst, but towards the end of his period with that firm, his reports had been fabrications and that LOCKWOOD had dressed up the facts upon which the reports were based without actually contacting the particular firms to obtain the facts.

He said that in his opinion, Mr. LOCKWOOD is a social drinker, but that he drinks quite heavily. He said that his only knowledge of LOCKWOOD's involvement with ALGER HISS is what has appeared in the public press. He said, however, that from his conversations with LOCKWOOD, he would consider him "ideologically suspect", though he could furnish no specific instance leading to this description. He said that his feeling is probably the result of a general impression over the years and has no basis in any one particular remark or action. Mr. EDDY said that while he himself has had no specific reason to question LOCKWOOD's loyalty to the United States, he would be greatly hesitant in recommending him for a position with the United Nations, due to the unknown causes and extent of LOCKWOOD's relationship with ALGER HISS.

On 10/26/60 at 100 Broadway, New York, NY File # 138-3449

by SA BERTRAM WORTHINGTON/map Date dictated 10/28/60

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On November 1, 1960, Mr. J. FEARON BROWN, Attorney, 460 Park Avenue, New York, New York, advised that he has been a close social acquaintance of the LOCKWOOD family since approximately 1930. He said that both families had owned neighboring summer homes in Rhode Island and that his own daughter and the applicant had grown up together. He stated that as a matter of fact, his daughter, SHARON, and AGNESE CARTER NEILMS, the applicant's wife, were roommates in their junior and senior years at Bryn Mawr College. He said that his daughter had introduced Miss NEILMS to the applicant. Mr. BROWN said that the applicant is generally considered to be somewhat of a genius, having a great knowledge of science, music and archeology. He said that the applicant has a very engaging personality and is an excellent conversationalist. He said that he admires "Chip" LOCKWOOD greatly and has visited in his home on East 66th Street on several occasions. He said that he considers the applicant and his wife to be persons of the finest character and to be completely devoted to the United States.

Miscellaneous

On October 19 and 24, 1960, representatives of the Bureau of Special Services and of the Bureau of Criminal Identification, both of the New York City Police Department, advised SA DAVID G. JENKINS that the files of their respective bureaus contain no record for the applicant, his wife or his parents.

On October 20, 1960, PAULINE DE FIORE, Clerk, Credit Bureau of Greater New York, advised IC ALFRED G. ENNULAT that the applicant and his wife enjoy a very fine credit record and that the file contains no information of an unfavorable nature concerning their character or their loyalty to the United States and no record of litigation. Miss DE FIORE said that this report dated December 30, 1955, reflects that prior to moving to 200 East 66th Street in March of 1951, the applicant resided with his parents at 860 Park Avenue and prior to 1947 and from 1925, he had resided with his parents at 36 East 81st Street, New York, New York, a one family dwelling owned by the parents.

A review of the records of the Manhattan, New York Board of Elections by IC JESSE C. HOUSE, Jr. on October 21, 1960, reflects that on each occasion on which they have registered from the address 200 East 66th Street between

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the years 1952 and 1959, MANICE and AGNES LOCKWOOD have indicated a preference for one of the two major political parties, with the exception of the year 1956, when AGNES indicated a preference for the Liberal Party. From the address 20 East 74th Street, both indicated a preference for a major political party in 1950 and AGNES failed to indicate any preference from that address in 1948. From the address 860 Park Avenue, on each occasion on which they registered between 1947 and 1950, MANICE, MANICE III and MARIEL LOCKWOOD indicated a preference for one of the two major political parties. From the address 36 East 81st Street, on each occasion on which they registered between the years 1943 and 1945, MARIEL, MANICE, Jr. and MANICE III LOCKWOOD indicated a preference for one of the two major political parties.

The files of the "New York Herald Tribune" Information Bureau, 130 West 41st Street, New York, New York, reviewed on October 23, 1960 and of the "New York Times" Information Bureau, 229 West 43rd Street, reviewed on October 23, 1960, contained no additional pertinent information.

The May, 1956 edition of "International Conciliation" was reviewed at the New York Public Library on October 26, 1960 and reflected that this book is published five times a year by the Carnegie Endowment for International Peace. AGNESE N. LOCKWOOD was listed as Assistant Editor and this edition contained an article captioned "Indians of the Andes", written by Mrs. LOCKWOOD.

The May, 1958 edition contains an article entitled "The Burma Road to Pyreawtha", by AGNESE N. LOCKWOOD and reflects that the author and her husband, MANICE LOCKWOOD, had gathered the information in the article on a trip through Burma. In this issue AGNESE N. LOCKWOOD was again listed as Assistant Editor.

It is noted that ALGER HISS, mentioned above, was made President of the Carnegie Endowment for International Peace in 1947.

NY 138-3449

Confidential informants who are acquainted with some phases of communist activity in the Greater New York area advised in October, 1960, that the applicant, his wife and his parents are unknown to them and that they could furnish no information concerning them.



UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

New York, New York

November 9, 1960

In Reply, Please Refer to

File No.

New York 138-3449

Title **MANICE de FOREST LOCKWOOD**
 United Nations

Character **LOYALTY OF EMPLOYERS OF THE**
 UNITED NATIONS AND OTHER PUBLIC
Reference **INTERNATIONAL ORGANIZATIONS**

Report of Special Agent Bertram
Worthington, dated and captioned as above, at New York.

All sources (except any listed below) used in referenced
communication have furnished reliable information in the past.

Insufficient contact has been had with New York T-1
and New York T-2 to determine the reliability of information
furnished by them.

FEDERAL BUREAU OF INVESTIGATION

Reporting Office WASHINGTON FIELD	Office of Origin BUREAU	Date 11/15/60	Investigative Period 10/17 - 11/14/60
TITLE OF CASE MANICE DE FOREST LOCKWOOD United Nations		Report made by KENNETH A. CLOW	Typed By: pah
		CHARACTER OF CASE LEUN	

REFERENCES

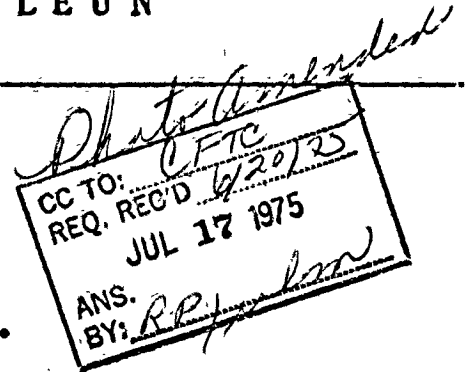
Bulet to NY dated 10/11/60.
NY airtel to WFO dated 10/27/60.
NY airtel to WFO dated 11/1/60.

- RUC -

ADMINISTRATIVE DATA

HCUA records were not checked because of their unavailability at this time.

Re NY airtel 10/27/60, requesting interview with ROBERT GARNER, International Finance Corp. (World Bank), Wash., D. C.



Approved JHG (w)	Special Agent In Charge	Do not write in spaces below	
Copies made: ⑥ - Bureau 1 - Washington Field (138-4102)		138-4482-18	REC-33
		9 NOV 15 1960	EX-107

3 cc CSC
1 cc Department

WFO 138-4102

On 11/1/60, Miss MAGDALIN NEYER, Secretary to Mr. GARNER, President of International Finance Corp., advised that she contacted Mr. GARNER, who advised he was not personally acquainted with applicant, but that a file was available in the Personnel Office concerning applicant. In view of above Mr. GARNER was not interviewed.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Copy to:

Report of: **KENNETH A. CLOW**
Date: **11/15/60**Office: **Washington, D. C.**Field Office File No.: **138-4102**

Bureau File No.:

Title: **MANICE DE FOREST LOCKWOOD**
United NationsCharacter: **LOYALTY OF EMPLOYEES OF THE UNITED NATIONS**
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONSSynopsis: **Nothing additional CSC or State Sy. No pertinent identifiable information CIA. No record Eastland Committee. Passports issued 1949, 1952, 1954, and 1958, for European and South American Countries. Personnel Officer, World Bank, advised applicant offered position of Investment Officer, but has not yet accepted. Former acquaintance advised she cannot recommend because of applicant's firm support of ALGER HISS.**

- R U C -

Kisseloff-103077

RESULTS OF INVESTIGATION

On October 27, 1960, IC JAMES D. BARNHARDT caused a search to be made of the files of the Investigations Division, Civil Service Commission, and no additional pertinent information was found concerning the applicant.

The files of the Office of Security, Department of State, were reviewed on November 14, 1960, and no additional pertinent information was found concerning the applicant.

SA ROBERT H. BURKART caused a search to be made of the files of the Central Intelligence Agency, and was advised on October 24, 1960, that the files contained no pertinent identifiable information concerning the applicant.

The files of the United States Senate Internal Security Subcommittee, were reviewed on October 18, 1960, by SA RICHARD J. DEILY, and no record was found for the applicant.

MISCELLANEOUS

Miss EUNICE DEISTER, Chief, Personnel Records Section, International Finance Corp. (World Bank), Washington, D. C., advised SA J. RICHARD NICHOLS on November 1, 1960, that MANICE DE FOREST LOCKWOOD made application dated January 23, 1960, for the position of Investment Officer with that agency. She stated that inquiries were made concerning him and subsequent interview was favorable. She said that in July, 1960, he was given an offer with two weeks option to accept, but as of this date no further word has been heard from the applicant.

She added that no information of an unfavorable nature was contained in the file pertaining to his character, loyalty or associations.

The files of the Passport Office, Department of State, reviewed by SE FRANCIS E. LITTLE on October 27, 1960, disclosed that MANICE DE FOREST LOCKWOOD III, was issued New York Series Passport Number 954560 on April 16, 1958, for a one month business trip to Ecuador, Peru, Bolivia, Argentina and Paraguay. He listed his birth as November 10, 1922, at New York, New York. This passport was renewed on June 1, 1960, at which time he stated he had no plans for foreign travel at present.

WFO 138-4102

The files further disclosed that he was issued Passport Number 354082 on April 30, 1954, for a six weeks pleasure trip to France, Germany, Switzerland, and Great Britain. This passport was renewed on May 7, 1956, for the purpose of taking a two weeks pleasure and business trip to Switzerland, Austria and France.

The files also reflected that he was issued Passport Number 157596 on November 22, 1949, for a three months trip to France, Egypt, Saudi-Arabia, Syria, Turkey, Greece, Iraq and Italy, for the purpose of photography of archeological monuments. This passport was renewed on January 14, 1952, for the purpose of taking a one month pleasure trip to France, Switzerland and Italy.

The files also reflected that he was included in his father's passport issued in 1934, (he was twelve years of age).

No additional pertinent information was contained in the files.

The applicant's name was checked against the records of the New York Division of the Federal Bureau of Investigation and no arrest record was found.

FEDERAL BUREAU OF INVESTIGATION
INTERVIEW REPORTDate November 14, 1960

Mrs. SHARON CLAY RISK, 6001 Wynnwood Road, Maryland, advised on November 9, 1960, that she first became acquainted with AGNESE NELMS at Bryn Mawr College. Her more intimate acquaintance was during the 1943-1946 period. After this they saw each other occasionally until 1950. Since that time, because of family responsibilities, they have drifted apart. During the period of their close friendship at Bryn Mawr, she found AGNESE followed a moral-christian life and not at all in favor of non-christian ideologies such as communism.

She stated she had become acquainted with MANICE LOCKWOOD during childhood. Their families had been neighbors at respective summer homes. It was through her that AGNESE met Mr. LOCKWOOD at Bryn Mawr. AGNESE after having left college became associated with the Carnegie Endowment for International Peace. ALGER HISS was her chief.

She described MANICE LOCKWOOD as a very unusual man, perhaps a genius. His whole world had to do with math, music and photography. He was not in tune with the moral-christian life.

Mrs. RISK stated she was not aware of how HISS became acquainted with Mr. LOCKWOOD, but presumed it came about through the employment of AGNESE under HISS at the Carnegie Foundation.

Mrs. RISK advised she had no knowledge of any subversive belief or association on the part of either Mr. LOCKWOOD or AGNESE. So far as their opinion regarding HISS, both were firm in their belief of his innocence, and although she cannot recall who made the statement, one or the other expressed the belief that HISS was framed, particularly as concerned the typewriter evidence.

Interview with Mrs. SHARON CLAY RISK File # WFO 138-4102
on 11/9/60 at _____ Date dictated 11/14/60
by Special Agent KENNETH A. CLOW/pah

WFO 138-4102

She stated that if Mr. LOCKWOOD has not changed in character since the period of time she knew him best, he is not suited for a sensitive position in the United Nations and could not conscientiously recommend him. However, she stated, it must be recalled that LOCKWOOD was in his mid-twenties during the HISS trial and could possibly be more mature in his viewpoint now.

Mrs. RISK advised she did not desire to give a signed statement or to appear before a Security Hearing Board.



**UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION**

*In Reply, Please Refer to
File No.*

**Title: MANICE de FOREST LOCKWOOD
United Nations
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS
AND OTHER PUBLIC INTERNATIONAL ORGANIZATIONS**

Report of: SA Kenneth A. Clow

**Date and Place: November 15, 1960
Washington, D. C.**

This is amended copy of LEAD report and should not be used for dissemination. If dissemination necessary, copies should be made of this copy.

- 1 -

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Kisseloff-103082
18

RESULTS OF INVESTIGATION

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WFO 138-4102

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FEDERAL BUREAU OF INVESTIGATION
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She stated she had become acquainted with MANICE LOCKWOOD during childhood. Their families had been neighbors at respective summer homes. It was through her that AGNESE met Mr. LOCKWOOD at Bryn Mawr. AGNESE after having left college became associated with the Carnegie Endowment for International Peace. ALGER HISS was her chief.

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Mrs. RISK advised she had no knowledge of any subversive belief or association on the part of either Mr. LOCKWOOD or AGNESE. So far as their opinion regarding HISS, both were firm in their belief of his innocence, and although she cannot recall who made the statement, one or the other expressed the belief that HISS was framed, particularly as concerned the typewriter evidence.

Interview with Mrs. SHARON CLAY RISK File # WFO 138-4102
on 11/9/60 at _____ Date dictated 11/14/60
by Special Agent KENNETH A. CLON/pah

WFO 135-4102

She stated that if Mr. LOCKWOOD has not changed in character since the period of time she knew him best, he is not suited for a sensitive position in the United Nations and could not conscientiously recommend him. However, she stated, it must be recalled that LOCKWOOD was in his mid-twenties during the HISS trial and could possibly be more mature in his viewpoint now.

Mrs. RISK advised she did not desire to give a signed statement or to appear before a Security Hearing Board.

12/14/66

Airtel

1 - Oberndorf

To: SAC, Dallas (62-3934)

From: Director, FBI

REC-34

138-4482-25

MANICE DE F. LOCKWOOD dba
Latin American Consulting Service,
Model Roland and Company, Inc.;
ALGER HISS
MISCELLANEOUS - INFORMATION CONCERNING
(SOVIET)

Re Dallas airtel 11/26/66 and New York airtel 12/1/66.

Bureau concurs with observations of New York Office
that no further action in this matter is necessary.

If Police contacts in the Dallas territory should contact
Dallas regarding the disposition of the material in this case,
they should be advised to handle it in accordance with their
normal established procedures relating to the disposition of lost
or stolen property.

1 - New York (138-3449)

1 - 101-2668 (Hiss)

LWRO:gc1
(6)

NOTE: Dallas received information of the recovery in a bus depot
of certain personal papers of subject Lockwood, including a \$1000
check payable to Alger Hiss. This material was apparently dropped
by a seedy individual who was observed in the bus station and who
is believed to have dropped it in his haste to catch a bus. Bureau
files show Lockwood to be a close friend of Alger Hiss for many
years. He put up money for his bond when Hiss was indicted. In
the absence of any allegation of a Federal violation or of an
international security factor, no investigation is warranted.
Since Dallas may be contacted by Police authorities re disposition
of this material, appropriate instructions are furnished.

MAILED 30
DEC 14 1966
DeLoach
Mohr
Wick
Casper
Callahan
Conrad
Felt
Gale
Rosen
Sullivan
Tavel
Trotter
Tele. Room
Holmes
Gandy

56 DEC 20 1966

MAIL ROOM
TELETYPE UNIT

Handwritten signatures and initials: "LWRO", "Hiss", "Kisseloff", "103087"

UNRECORDED COPY FILED IN 101-2668

F B I

Date: 12/1/66

Transmit the following in _____
(Type in plaintext or code)Via AIRTEL _____
(Priority)

TO : DIRECTOR, FBI (138-4482)

FROM : SAC, NEW YORK (138-3449) (RUC)

SUBJECT: MANICE de FOREST LOCKWOOD dba
Latin American Consulting Service,
Hodel Roland and Company, Inc.;
ALGER HISS
MISCELLANEOUS - INFORMATION CONCERNING
(OO: Dallas)

Obtained

Re Dallas airtel to the Director dated 11/27/66
captioned "MANICE DE F. LOCKWOOD dba, Latin American
Consulting Service, Hodel Roland and Company, Inc.;
ALGER HISS, MISCELLANEOUS - INFORMATION CONCERNING".

Bureau attention is invited to the report of
SA BERTRAM WORTHINGTON in the matter captioned "MANICE de
FOREST LOCKWOOD dba, Applicant, United Nations, New York -
LOYALTY OF EMPLOYEES OF THE UNITED NATIONS AND OTHER PUBLIC
INTERNATIONAL ORGANIZATIONS" dated 11/9/60 at NY, a copy
of which is enclosed for the Dallas Office. It is noted this
report sets forth full background concerning LOCKWOOD
and identifies him as a close friend of ALGER HISS. It is
felt by the NYO, in view of the established friendship
existing between HISS and LOCKWOOD, there is no apparent
security significance to the fact that LOCKWOOD would draw
a check on his account payable to HISS. No further action
contemplated in this matter by the NYO, UACB.

- 2 - Bureau (RM)
2 - Dallas (Encl. 1) (RM) (62-3934)
2 - New York
(1 - 105-10101) (ALGER HISS)

REC-34

138-4482-25

EX-115

DEC 2 1966

Approved: _____
Special Agent in Charge

Sent _____ M

Per _____

Kisseloff-103088

UNRECORDED COPY FILED IN 101-10101-101

F B I

Date: 11/26/66

NOV 30 1966

Transmit the following in _____

(Type in plaintext or code)

Via AIRTEL _____

(Priority)

TO: DIRECTOR, FBI

FROM: SAC, DALLAS (62-3934) (P)

RE: MANICE DE F. LOCKWOOD dba
Latin American Consulting Service,
Model Roland and Company, Inc.;
ALGER HISS
MISCELLANEOUS - INFORMATION CONCERNING

OO: DALLAS

On 11/20/66, MRS. J. A. SATTERWHITE, Trailways Bus Station, Nacogdoches, Texas, telephonically advised a \$1000 check payable to ALGER HISS was found at the bus station.

On 11/21/66, J. A. "BO" SATTERWHITE, JR., Manager, Trailways Bus Station, Nacogdoches, advised SA JOSEPH B. KILLGORE that about 10:40 a.m., 11/20/66, he noticed a person in the station who had inquired about the bus to Dallas, Texas. He told this person the bus was loading and about to leave. The man hurriedly obtained a collapsible hand bag from a locker and boarded the bus.

This man was described as a white male, age 45-50, 5'9", 130 pounds, not well or neatly dressed. SATTERWHITE had previously seen this man about 7:00 a.m., 11/20/66, in the coffee shop of the Fredonia Hotel and he was presumed to have spent the night there.

- ③ - Bureau
2 - Houston
2 - New York
2 - Dallas
(9)

REC 70

138-4482-26
18 NOV 29 1966

62 DEC 22 1966

Approved: _____

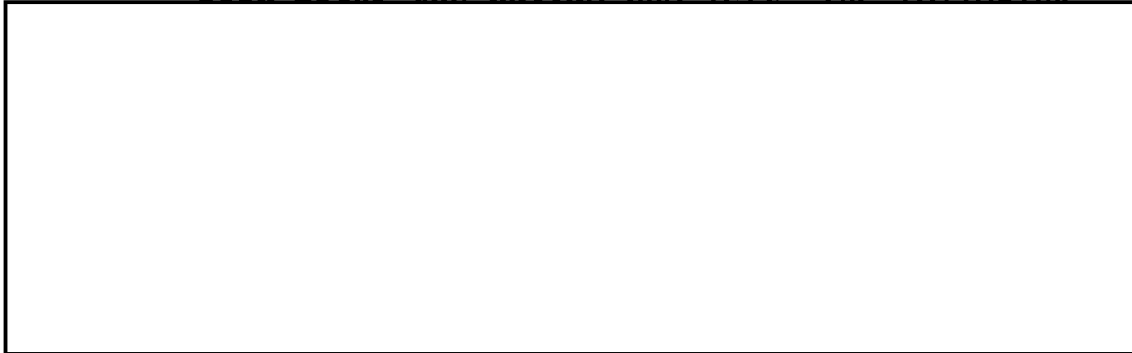
Sent _____ M Per _____

Special Agent in Charge

Kisseloff-103089

UNRECORDED COPY FILED IN 101-2668-

Soon after the Dallas bus left the following



b7D

Later, on 11/20/66, a charter bus which had not been used since the previous day was being cleaned when the serviceman noted a paper or document on a seat and a number of papers that appeared to have slipped under the seat. Some of the papers bore the same name that appeared on the check payable to ALGER HISS. The papers were turned over to the Chief of Police. SATTERWHITE advised the above-described man could have slept in the bus overnight. No inquiry had been received from the person losing the papers. He advised at first he considered the check payable to HISS to be some type of joke.

On 11/21/66, Chief of Police M. C. ROEBUCK, Nacogdoches, advised SA KILLGORE the following items were turned over to him by J. A. "BO" SATTERWHITE:

1. \$1000 check payable to ALGER HISS.

2.



b7D

3. Three letters, invoices, of Proudfoot Reports, 51 Madison Avenue, New York, to MR. M. LOCKWOOD, Latin American Consulting Service, 1 East 44th Street, New York City, for reports on three individuals at \$125 each.

4. American Airlines ticket issued 11/17/66 at New York City for travel from Tucson, Arizona, to New York on 11/27/66, ticket No. 1 001 125534887 2, purchased on credit card of Model Roland and Company, 120 Broadway, MANICE DE F. LOCKWOOD.

5. Eastern Airlines ticket issued 11/16/66 at New York City for travel from New York to Houston, Texas, on 11/17/66. The same credit card was used.

6. Several items of tourist literature concerning Galveston, Texas.

On 11/21/66, MRS. MARTHA HANEY, Hotel Fredonia, Nacogdoches, advised she found no record that LOCKWOOD was a guest on 11/19/66. She recalled a person who came from the bus station across the street and inquired about a room. That hotel was filled and most of the motels and hotels in Nacogdoches were believed to be filled at that time because of ball games and a coin exhibition.

REQUEST OF BUREAU

The Bureau is requested to attempt to identify captioned individuals with any pending security or criminal investigation.

LEADS

NEW YORK

AT NEW YORK, NEW YORK. Attempt to identify the captioned individuals with any pending security or criminal investigation.

HOUSTON

DL 62-3934

AT HOUSTON AND GALVESTON, TEXAS. Will check
PD records for a record of the above items in the name
of MANICE DE F. LOCKWOOD being reported stolen.